

#### **Course Outline**

L1-L5 Specifications, models and loop-shaping by hand

- 1. Introduction
- 2. Stability and robustness
- 3. Specifications and disturbance models
- 4. Control synthesis in frequency domain
- 5. Case study

L6-L8 Limitations on achievable performance

L9-L11 Controller optimization: Analytic approach

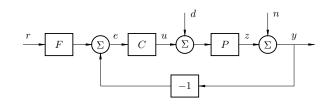
L12-L14 Controller optimization: Numerical approach

#### Lecture 4 - Outline

- 1. Frequency domain specifications
- 2. Loop shaping
- 3. Feedforward design

[Glad & Ljung] Ch. 6.4-6.6, 8.1-8.2

## Relations between signals



$$\begin{split} Z &= \frac{P}{1+PC}D - \frac{PC}{1+PC}N + \frac{PCF}{1+PC}R \\ Y &= \frac{P}{1+PC}D + \frac{1}{1+PC}N + \frac{PCF}{1+PC}R \\ U &= -\frac{PC}{1+PC}D - \frac{C}{1+PC}N + \frac{CF}{1+PC}R \end{split}$$

#### **Design specifications**

Find a controller that

- A: reduces the effect of load disturbances
- B: does not inject too much measurement noise into the system
- C: makes the closed loop insensitive to process variations
- D: makes the output follow the setpoint

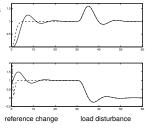
If possible, use a controller with **two degrees of freedom**, i.e. separate signal transmission from y to u and from r to u. This gives a nice separation of the design problem:

- 1. Design feedback to deal with A, B, and C
- 2. Design feedforward to deal with D

#### Time domain specifications

E.g. specifications on step responses (w.r.t. reference, load disturbance)

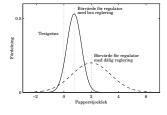
- ightharpoonup Rise-time  $T_r$
- ightharpoonup Overshoot M
- $\blacktriangleright \ \ \text{Settling time } T_s$
- $\blacktriangleright \ \ \mathsf{Static} \ \mathsf{error} \ e_0$
- **.** . . .



## Stochastic signal specifications

- ► Output variance
- Control signal variance

**>** ...



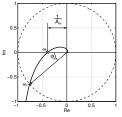
## Frequency domain specifications

Open-loop specifications

- Amplitude margin  $A_m$ , phase margin  $\varphi_m$
- lacktriangle Cross-over frequency  $\omega_c$
- $lackbox{ } M_s$  and  $M_t$  circles in Nyquist diagram
- ▶ ...

Closed-loop specifications, e.g.

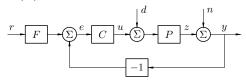
- resonance peak  $M_p$
- ightharpoonup bandwidth  $\omega_B$
- •



 $|G_{cl}(i\omega)|$   $M_p$  1  $\sqrt{2}$   $\omega_B$   $\omega$ 

## Frequency domain specifications

Closed-loop specifications, cont'd:



Desired properties:

- ightharpoonup Fast tracking of setpoint r
- lacktriangle Small influence of load disturbance d on z
- Small influence of model errors on  $\boldsymbol{z}$
- ightharpoonup Limited amplification of noise n in control u
- ► Robust stability despite model errors

# Frequency domain specifications

Ideally, we would like to design the controller (C and F) so that

$$\frac{PCF}{1+PC}=1$$

$$\underbrace{\frac{P}{1+PC}}_{=PS} = \underbrace{\frac{1}{1+PC}}_{=S} = \underbrace{\frac{C}{1+PC}}_{=P^{-1}T} = \underbrace{\frac{PC}{1+PC}}_{=T} = 0$$

S+T=1 and other constraints makes this is impossible to achieve.

Typical compromise:

- ▶ Make T small at high frequencies ( $\omega > \omega_B$ )
- lacktriangle Make S small at low frequencies (+ possibly other disturbance dominated frequencies)

## Expressing specifications on ${\cal S}$ and ${\cal T}$

Maximum sensitivity specifications, e.g.,

- $||S||_{\infty} \le M_s$
- $|T|_{\infty} \leq M_t$

Frequency-weighted specifications, e.g.,

- $$\begin{split} & \blacktriangleright \ \|W_S S\|_{\infty} \leq 1 \quad \text{or} \quad |S(i\omega)| \leq |W_S^{-1}(i\omega)|, \ \forall \omega \\ & \blacktriangleright \ \|W_T T\|_{\infty} \leq 1 \quad \text{or} \quad |T(i\omega)| \leq |W_T^{-1}(i\omega)|, \ \forall \omega \end{split}$$

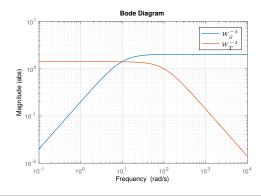
where  $W_S(s)$  and  $W_T(s)$  are stable transfer functions

Piecewise specifications, e.g.

 $ightharpoonup |S(i\omega)| < \frac{0.2}{\omega}, \; \omega \leq 10 \; \; {
m and} \; \; |S(i\omega)| < 2, \; \omega > 10$ 

# Specifications on ${\cal S}$ and ${\cal T}$ – example

$$W_S^{-1}(s) = \frac{2s}{s+10}, \quad W_T^{-1}(s) = \frac{140}{s+100}$$



#### Limitations on specifications

The specifications cannot be chosen independently of each other:

▶ S + T = 1

Fundamental limitations [Lecture 7]:

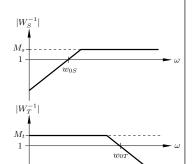
- ▶ RHP zero at  $z \Rightarrow \omega_{0S} \le z/2$
- $\blacktriangleright \ \ {\rm Time \ delay} \ T \Rightarrow \omega_{0S} \leq 1/T$
- ightharpoonup RHP pole at  $p\Rightarrow\omega_{0T}\geq 2p$

Bode's integral theorem:

► The "waterbed effect"

Bode's relation:

▶ good phase margin requires certain distance between  $\omega_{0S}$ and  $\omega_{0T}$ 



#### Loop shaping

Idea: Look at the **loop gain** L=PC for design and to translate specifications on  ${\cal S}$  and  ${\cal T}$  into specifications on  ${\cal L}$ 

$$S = \frac{1}{1+L} \approx \frac{1}{L} \qquad \text{if $L$ is large}$$

$$T = rac{L}{1+L} pprox L \qquad ext{if $L$ is small}$$

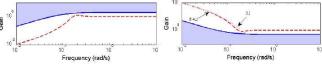
Classical loop shaping: Manually design  ${\cal C}$  so that  ${\cal L}={\cal P}{\cal C}$  satisfies constraints on  ${\cal S}$  and  ${\cal T}$ 

- how are the specifications related?
- $\blacktriangleright$  what to do with the region around cross-over frequency  $\omega_c$ (where  $|L| \approx 1$ )?

## Sensitivity vs loop gain

$$S = \frac{1}{1+L}$$

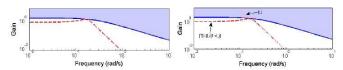
$$|S(i\omega)| \le |W_S^{-1}(i\omega)| \iff |1+L(i\omega)| > |W_S(i\omega)|$$



For small frequencies,  $W_S$  large  $\Longrightarrow 1+L$  large, and  $|L|\approx |1+L|$ .  $|L(i\omega)| \ge |W_S(i\omega)|$  (approx.)

#### Complementary sensitivity vs loop gain

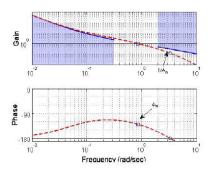
$$\begin{split} T &= \frac{L}{1+L} \\ &|T(i\omega)| \leq |W_T^{-1}(i\omega)| \Longleftrightarrow \frac{|L(i\omega)|}{|1+L(i\omega)|} \leq |W_T^{-1}(i\omega)| \end{split}$$



For large frequencies,  $W_T^{-1}$  small  $\Longrightarrow |T| \approx |L|$ 

$$|L(i\omega)| \le |W_T^{-1}(i\omega)| \quad (approx.)$$

Resulting constraints on loop gain L:

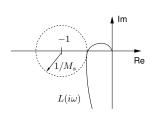


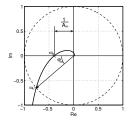
Approximations are inexact around cross-over frequency  $\omega_c$ . In this region, focus is on stability margins  $(A_m,\,\varphi_m)$ 

# ${\it M_s}$ and ${\it M_t}$ vs gain and phase margins

Specifying  $|S(i\omega)| \leq M_s$  and  $|T(i\omega)| \leq M_t$  gives bounds for the gain and phase margins (but not the other way round!)

$$|S(i\omega)| \leq M_s \quad \Longrightarrow \quad A_m > \frac{M_s}{M_s-1}, \quad \varphi_m > 2 \arcsin \tfrac{1}{M_s}$$





(Q: Why do not  $A_m$  and  $\varphi_m$  give bounds on  $M_s$  and  $M_t$ ?)

## Lead-lag compensation

Shape the loop gain  ${\cal L}={\cal PC}$  using a compensator  ${\cal C}$  composed of

Lag (phase retarding) elements

$$C_{lag}(s) = \frac{s+a}{s+a/M}, \quad M > 1$$

Lead (phase advancing) elements

$$C_{lead}(s) = N \frac{s+b}{s+bN}, \quad N > 1$$

► Gain

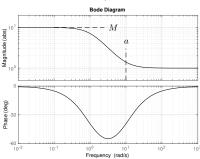
K

Example:

$$C(s) = K \frac{s+a}{s+a/M} \cdot N \frac{s+b}{s+bN}$$

## Lag filter

$$G_{lag}(s) = \frac{s+a}{s+a/M}, \quad M>1$$



Special case:  $M=\infty \Rightarrow {\rm integrator}$ 

### Lead filter

$$G_{lead}(s) = N \frac{s+b}{s+bN}, \quad N>1$$
 Bode Diagram 
$$b$$
 Bode Diagram

Maximum phase advance for different  ${\cal N}$  given in Collection of Formulae

## Properties of lead-lag filters

- ▶ Lag element
  - Reduces static error
  - Reduces stability margin
- Lead element
  - ▶ Increases speed (by increasing  $\omega_c$ )
  - Increased phase
  - ⇒ May improve stability
- Gain
  - ► Translates the magnitude curve
  - Does not change phase curve

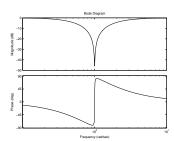
## Iterative lead-lag design

- ► Step 1: Lag (phase retarding) element
  - ▶ Add phase retarding element to get low-frequency asymptote right
- ► Step 2: Phase advancing element
  - ▶ Use phase advancing element to obtain correct phase margin
- ► Step 3: Adjust gain
  - Usually need to amplitude curve to obtain the desired cross-over frequency.

Adjusting the gain in Step 3 leaves the phase unaffected, but may ruin low-frequency asymptote (need to revise lag element)  $\Longrightarrow$  Need to iterate!

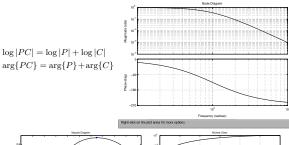
Example of other compensation link:

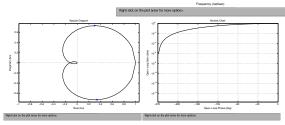
Notch filter 
$$\frac{s^2 + 0.01s + 1}{s^2 + 2s + 1}$$



(E.g., supress measurement noise at specific frequency)

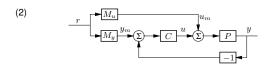
Bode, Nyquist and Nichols diagrams





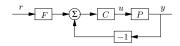
# Feedforward design

Examples of 2-DOF configurations:



Ideally, we would like the output to follow the setpoint perfectly, i.e. y=r

## Feedforward design (1)



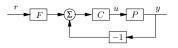
Perfect following requires

$$F = \frac{1 + PC}{PC} = T^{-1}$$

In general impossible because of pole excess in T. Also

- T might contain non-minimum-phase factors that can/should not be inverted
- lacktriangledown u must typically satisfy some upper and lower limits

# Feedforward design (1)

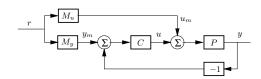


Assume T minimum phase. An implementable choice of F is then

$$F(s) = \frac{1 + P(s)C(s)}{P(s)C(s)(sT_f + 1)^d}$$

where  $\boldsymbol{d}$  is large enough to make  $\boldsymbol{F}$  proper and implementable

#### Feedforward design (2)

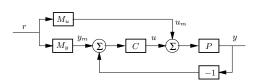


 $M_y$  and  $M_u$  can be viewed as generators of the desired output  $y_m$  and the input  $u_m$  that corresponds to  $y_m$ 

For y to follow  $y_m$ , select

$$M_u = M_u/P$$

#### Feedforward design (2)



Since  $M_u=M_y/P$  should be stable, causal and proper we find that

- lacktriangle Unstable zeros of P must be zeros of  $M_y$
- $\,\blacktriangleright\,$  Time delays of P must be time delays of  $M_y$
- $\,\blacktriangleright\,$  The pole excess of  $M_y$  must not be smaller than the pole excess of P

Take process limitations into account!

## Feedforward design - example

Process:

$$P(s) = \frac{1}{(s+1)^4}$$

Selected reference model:

$$M_y(s) = \frac{1}{(sT+1)^4}$$

Then

$$M_u(s) = \frac{M_y(s)}{P(s)} = \frac{(s+1)^4}{(sT_f+1)^4}$$
  $M_u(\infty) = \frac{1}{T^4}$ 

Fast response (small  $T_f$ ) requires high gain of  $M_u$ .

Bounds on the control signal limit how fast response we can obtain.