

- L1-L5 Specifications, models and loop-shaping by hand
1. Introduction and system representations
  2. Stability and robustness
  3. Specifications and disturbance models
  4. Control synthesis in frequency domain
  5. Case study

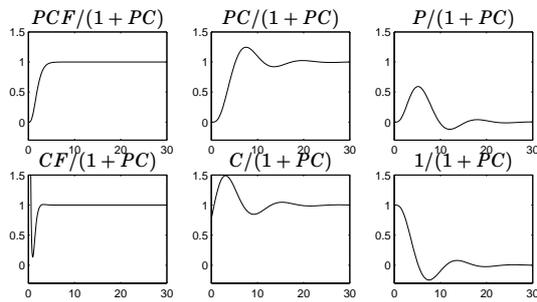
L6-L8 Limitations on achievable performance

L9-L11 Controller optimization: Analytic approach

L12-L14 Controller optimization: Numerical approach

Example: Step Responses

PI control  $k = 0.775, T_i = 2.05$  of  $P(s) = (s + 1)^{-4}$



Equivalently

$$F(s) \approx \frac{1 + P(s)C(s)}{P(s)C(s)}$$

Exact equality is generally impossible because of pole excess in  $P$ .

The simplest and most common approximation is to use a constant gain

$$F = \frac{1 + P(0)C(0)}{P(0)C(0)}$$

Example

$$P(s) = \frac{1}{(s + 1)^4} \quad F(s) = \frac{1 + P(s)C(s)}{P(s)C(s)(sT + 1)^d}$$

The closed loop transfer function from  $r$  to  $u$  then becomes

$$\frac{C(s)}{1 + P(s)C(s)} F(s) = \frac{(s + 1)^4}{(sT + 1)^d}$$

which has low-fq gain 1, but gain  $1/T^d$  for  $\omega \rightarrow \infty$ .

Lecture 4:

- ▶ Specifications in frequency domain
- ▶ Loop shaping design

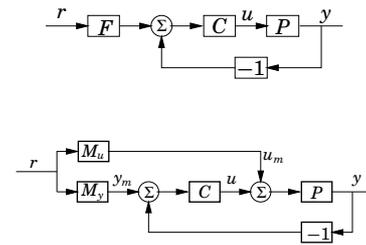
Topic left for today:

- ▶ Feed-forward design

The synthesis methods will be used in both today's case study and in Lab 1.

Don't forget to sign up for lab 1 on home page.

Feedforward design



The reference signal  $r$  specifies the desired value of  $y$ .

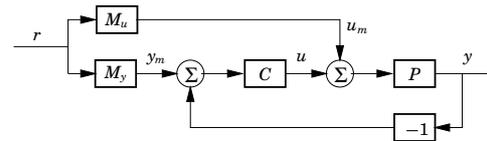
Ideally

$$\frac{P(s)C(s)}{1 + P(s)C(s)} F(s) \approx 1$$

A more advanced option is

$$F(s) = \frac{1 + P(s)C(s)}{P(s)C(s)(sT + 1)^d}$$

for some suitable time constant  $T$  and  $d$  large enough to make  $F$  proper and implementable.



Notice that  $M_u$  and  $M_y$  can be viewed as generators of the desired output  $y_m$  and the inputs  $u_m$  which corresponds to  $y_m$ .

## Design of Feedforward revisited

The transfer function from  $r$  to  $e = y_m - y$  is  $(M_y - PM_u)S$

Ideally,  $M_u$  should satisfy  $M_u = M_y/P$ . This condition does not depend on  $C$ !

Since  $M_u = M_y/P$  should be stable, causal and not include derivatives we find that

- ▶ Unstable process zeros must be zeros of  $M_y$
- ▶ Time delays of the process must be time delays of  $M_y$
- ▶ The pole excess of  $M_y$  must be greater than the pole excess of  $P$

Take process limitations into account!

## Example of Feedforward Design revisited

If

$$P(s) = \frac{1}{(s+1)^4} \quad M_y(s) = \frac{1}{(sT+1)^4}$$

then

$$M_u(s) = \frac{M_y(s)}{P(s)} = \frac{(s+1)^4}{(sT+1)^4} \quad \frac{M_u(\infty)}{M_u(0)} = \frac{1}{T^4}$$

Fast response ( $T$  small) requires high gain of  $M_u$ .

Bounds on the control signal limit how fast response we can obtain.

## Lecture 5 — Control of DVD reader

- ▶ Focus control
- ▶ Radial control (Track following)



- ▶ Problem formulation
- ▶ Modeling
- ▶ Specifications
- ▶ Focus loop shaping
- ▶ Radial control (track following)
- ▶ Experimental verification

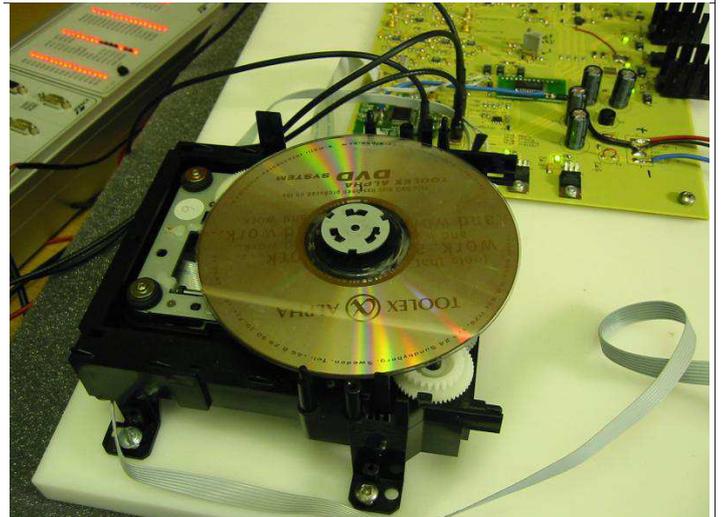
based on work by Bo Lincoln

## The DVD-reader tracking problem

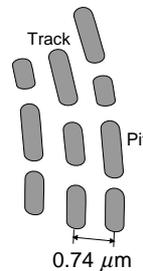
Scaled version of the control task in a DVD player

- ▶ You are traveling at half the speed of light, along a line from which you may only deviate 1 m
- ▶ The line is not straight but oscillates up to 4.5 km sideways 23 times per second

Good luck!



## The DVD-reader tracking problem

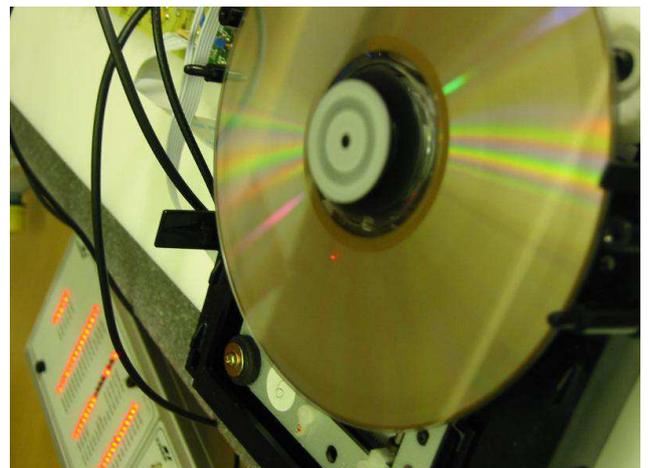


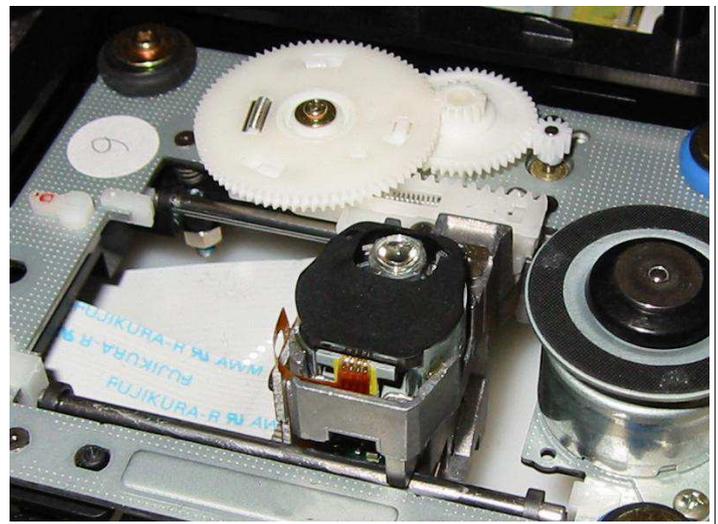
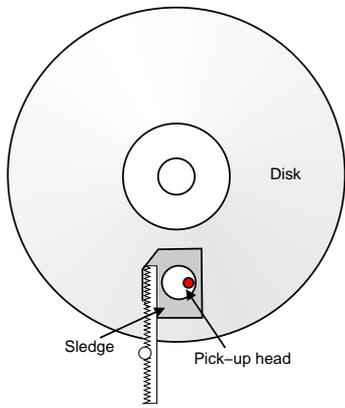
- ▶ 3.5 m/s speed along track
- ▶ 0.022 μm tracking tolerance
- ▶ 100 μm deviations at 23 Hz due to asymmetric discs

DVD Digital Versatile Disc, 4.7 Gbytes

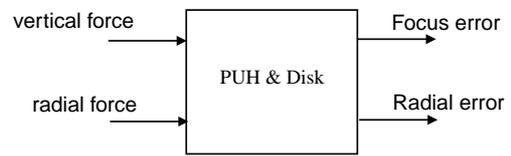
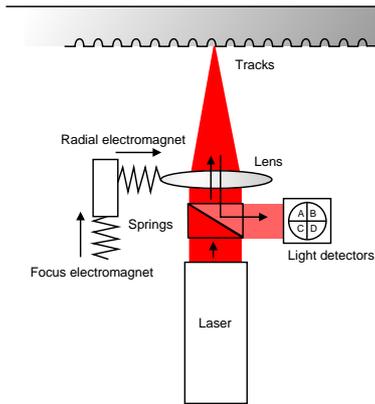
CD Compact Disc, 650 Mbytes

## Can you see the laser spot?

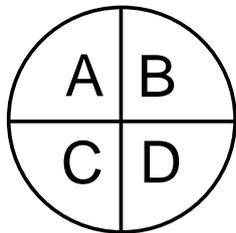




### Input-output diagram for DVD control



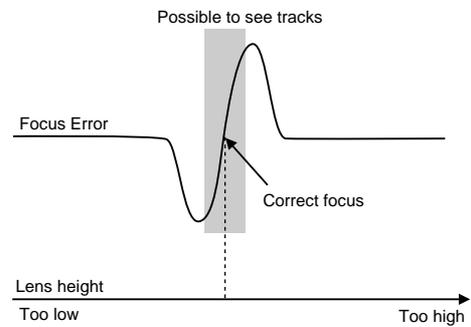
### The four photo detectors



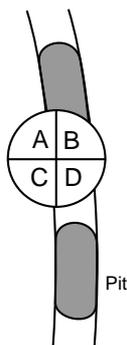
$$\text{focus error} = (A+D) - (B+C)$$

Note: There are no other sensors in the pick-up head to help keep the laser in the track.

### Focus error signal



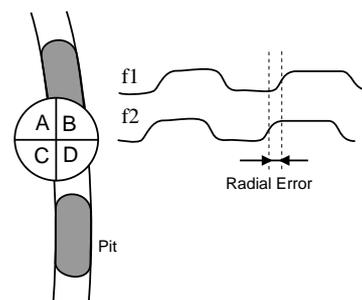
### Radial error by push-pull



Look at

$$(A + C) - (B + D)$$

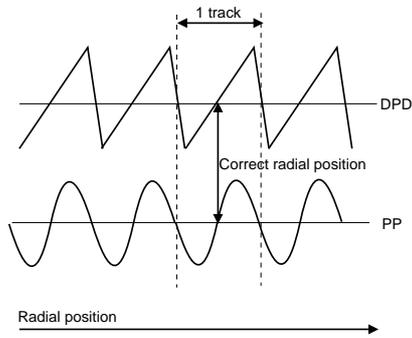
### Radial error by phase-difference (DPD)



$$f_1 = A + D, \quad f_2 = B + C$$

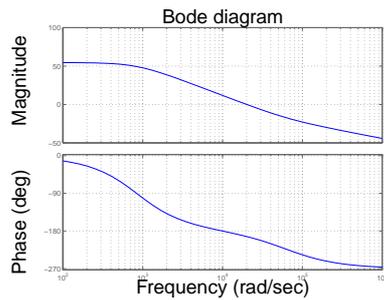
Error signal  $RE$  created by time difference

## Radial error signals



Note: Larger linear error region if using DPD.

## Experimental frequency response model



$$P_f(s) = 6092 \frac{s - 63168}{s^2 + 1553s + 718214}$$

## Specifications

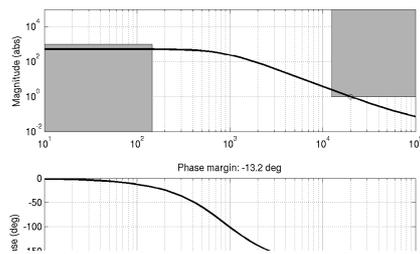
Cancel disturbances due to disc asymmetry

$$|C(i\omega)P_f(i\omega)| \geq 1000 \quad \text{for } \omega \leq 23.1 \text{ Hz}$$

Reject measurement noise

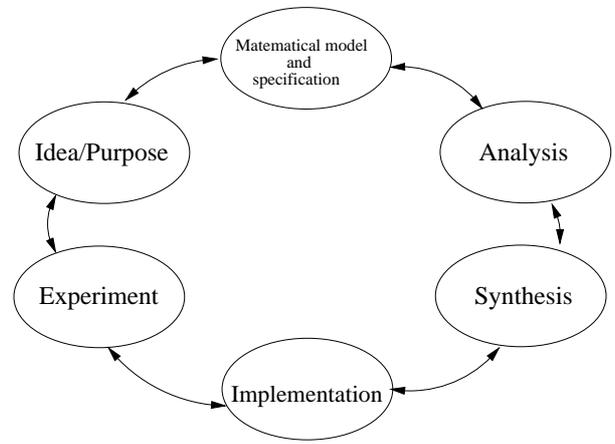
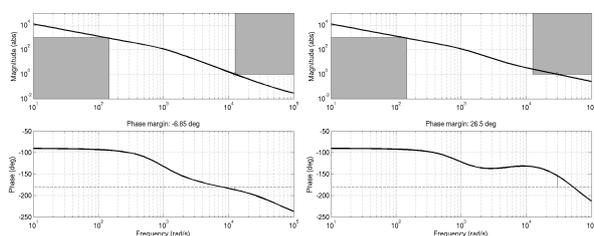
$$|C(i\omega)P_f(i\omega)| \leq 1 \quad \text{for } \omega > 2 \text{ kHz}$$

(Compare to the bit rate, which is in the order of 1 MHz)

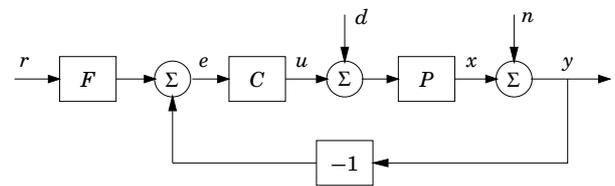


## Lead and Lag Compensators

Further compensation is needed for stability. A lead filter to increase the phase near 2 kHz;  $C_2(s) = 0.4 \frac{s+600}{s} \frac{1+s/5000}{1+s/50000}$ .

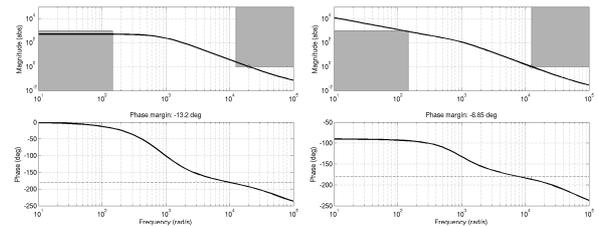


## What Signals are Relevant for Focus Control?



## First Order Lag Compensator

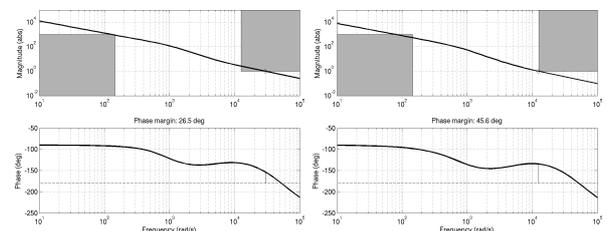
Use lag-filter to increase the gain below 24 Hz. The break point needs to be well below 2 kHz in order to avoid additional phase lag at the cut-off frequency:  $C_1(s) = 0.4 \frac{s+600}{s}$



## Adjust gain

The gain needs to be adjusted at high frequencies.

Now the closed loop system is stable with good margins, but the gain at 23.1 Hz is still too low, just 100 instead of 1000;



## Final controller

The gain at 23.1 Hz can be corrected by modifying the break point of the lag filter to get the final controller

$$C(s) = 0.15 \frac{s+1600}{s} \frac{1+s/5000}{1+s/50000}$$

Notice that this is very similar to a PID controller of the form

$$C(s) = K \left( \frac{1}{sT_i} + \frac{sT_d}{1+sT_d/N} \right)$$

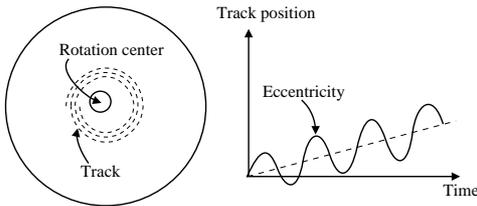
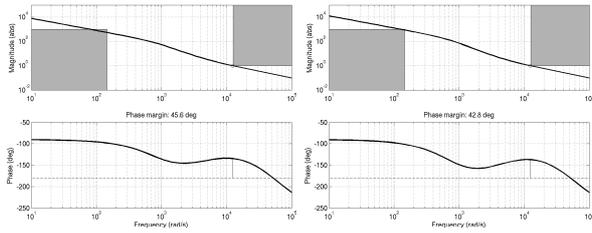
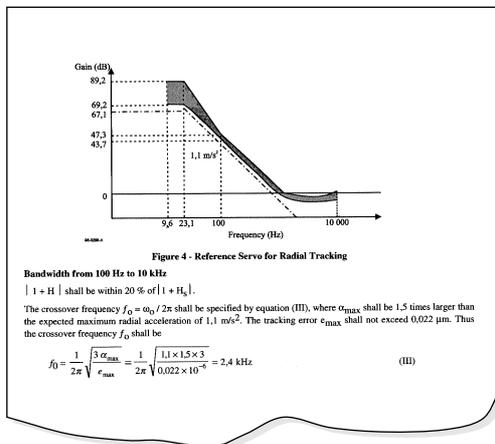


Figure: The disc is often a bit eccentric (i.e. not rotating around the track center). The resulting track position, which the Pick-Up-Head has to follow, is a sinus-like.

## DVD specification (standard ECMA-267)



## Different design choices

There are a number of different design methods to use

Example:

- ▶ Loop-shaping
- ▶ Pole-placement
- ▶ LQG ("State feedback" in combination with Kalman filter)
- ▶ ...

## Radial control

Make the laser follow the track by moving "sideways"/radially

The Focus control problem is essential to solve first

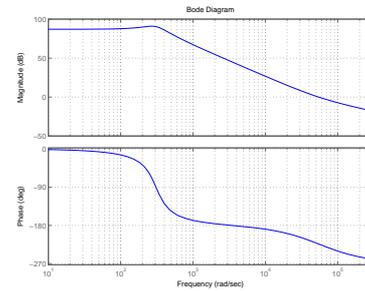
Tracking via

- ▶ Move lens (electromagnet/fast motion)
- ▶ Move sledge (slow/large range)

Disturbances

- ▶ eccentric (up to 100 tracks in one rotation)
- ▶ physical vibrations of DVD-player
- ▶ noise (dirt etc)

An estimated transfer function for the radial servo (from the control signal  $u$  to the radial error  $RE$ )



System identification made by sinusoidal excitation.

The plot on previous slide is a copy from the DVD specification, standard ECMA-267.

The plot shows the specified  $|1 + G_{\text{radial}} \cdot C_{\text{radial}}|$ , which is the inverse of the sensitivity function, and the curve corresponds roughly to the *open-loop transfer function*.

In clear text, the specification requires the following:

- ▶ A low-frequency (< 23 Hz) gain of 70 dB or more for the open-loop system.
- ▶ A cut-off frequency of  $\omega_c = 2.4 \text{ kHz} = 15 \text{ krad/s}$ .

## Problem with output disturbance

The eccentricity causes problems (about 10-20 Hz and oscillation of up to 100 tracks). Can't be exactly modeled due to uncertainty.

How to proceed?

## How to get rid of oscillation?

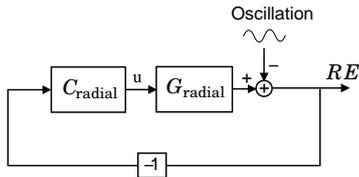


Figure: A model of how the disk oscillation affects the system. For example, if the oscillation offset at some point in time is +6.2 tracks, the DVD radial servo has to be at +6.2 tracks too to have zero RE.

## From lecture 3...

If  $w_1$  and  $w_2$  is colored noise then re-write  $w_1$  and  $w_2$  as output signals from linear systems with white noise inputs  $v_1$  and  $v_2$ .

$$w_1 = G_1(p)v_1, \quad w_2 = G_2(p)v_2$$

Make a state space realization of  $G_1$  and  $G_2$  and extend the system description with these states

$$\dot{\bar{x}}(t) = \bar{A}\bar{x}(t) + \bar{B}\bar{u}(t) + \bar{N}v_1(t)$$

$$z(t) = \bar{M}\bar{x}(t) + D_z u(t)$$

$$y(t) = \bar{C}\bar{x}(t) + D_y u(t) + v_2(t)$$

where the extended state  $\bar{x}$  consists of the state  $x$  and the states from the state-space realizations of  $G_1$  and  $G_2$ .

$\bar{A}$  is the corresponding system matrix for the extended system etc.

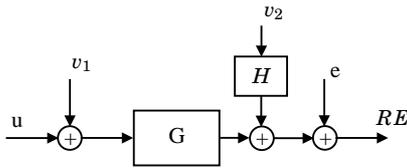
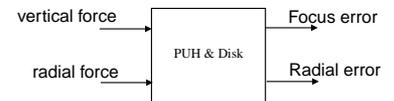
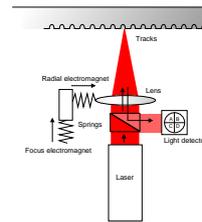


Figure: Noise model: There is both white process noise  $v_1$ , and a track-offset which is modeled as the white noise  $v_2$  through a filter  $H$ .

When designing a state estimator, we can give the Kalman filter a "hint" of what to expect, by modeling the eccentricity as white noise through a filter  $H$  as shown in the figure above. The filter  $H$  should have a high gain in the frequency range where the oscillation acts.



## Experiment

DEMO

## Summary

- ▶ Problem formulation
- ▶ Modeling
- ▶ Specifications
- ▶ Focus loop shaping
- ▶ Track following
  - ▶ specs
  - ▶ disturbance modelling
  - ▶ LQG-design will follow in Lectures 9-11
- ▶ Experimental verification

## References

See also

- ▶ Lecture notes L5 on web page
- ▶ <http://libhub.sempertool.dk/> (available from lu.se-domain)  
"Sensing and Control in Optical Drives How to Read Data from a Clear Disc" by Amir H. Chaghajerdi, June 2008, IEEE Control Systems Magazine, pp. 23-29

## Next lecture

Lecture 6

- ▶ Controllability and observability
- ▶ Singular values
- ▶ Multivariable zeros

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L6-L8 Limitations on achievable performance