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Synchronization and Communication (part I)	[Real-Time Control System: Chapter 4]
Real-Time Systems, Lecture 3	
	1. Common Resources and Mutual Exclusion
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	Communication and Synchronization
	Communication and Synchronization
	Concurrent processes are not independent
Common Resources and Mutual Exclusion	Communication refers to the transfer of information between multiple
	processes. When processes are communicating, synchronization is usually required as one process must wait for the communication to
	occur. In some cases, synchronization is the only necessary activity and
	no communication takes place.
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Communicating using Shared Memory	Communicating using Shared Memory
	Process A Process B
In a multi-threaded application, the threads can use shared memory to	
communicate. One thread writes a variable in the shared memory and another one reads from the same variable.	ADD R1, 1 SUB R2, 1
Process A Process B	STORE R1, N STORE R2, N
$\begin{array}{c ccccccccccccccccccccccccccccccccccc$	I he high-level instructions are not atomic. Interrupts that cause context switches may occur in between every machine instruction. In Java the
	same situation occurs with Java statements and byte code statements.
Assume $n = 5$ and that A and B executes once. What is the value of n ?	atomic. However, if the data attributes are declared as volatile or if
	special Atomic variable classes are used, then the atomicity is extended.
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²http://en.wikipedia.org/wiki/Dekker's_algorithm

Mutual Exclusion

The previous approach would work if the test on the free flag and the assignment were a single **atomic operation**. Atomic test-and-set operations are common in many processors. They read a variable from memory and they assign to it a new value in a single operation, so that it is possible to achieve mutual exclusion with a flag check.

Semaphores

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Semaphores for Mutual Exclusion

A semaphore is a non-negative counter that can be used for two **atomic** operations.

- wait (while s = 0, do busy wait, end; then s = s-1;)
- signal (s = s+1;)

Semaphore

Atomicity is obtained by disabling interrputs. Implemented with priority-sorted wait queues to avoid busy wait.

Process A
<pre>wait(mutex);</pre>
access critical section;
<pre>signal(mutex);</pre>

. . .

Process B

. . .

...
wait(mutex);
access critical section;
signal(mutex);

The semaphore mutex is initialized to 1. The mutex semaphore will only have the values 0 or 1 (known as a binary semaphore).

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Semaphores for Synchronization
                                                                             Semaphores for Synchronization
    Asymmetric synchornization
                                                                                 Symmetric synchornization
          Process A
                                               Process B
          LOOP
                                               LOOP
                                                                                                                           Process B
                                                                                       Process A
           . . .
                                                                                       LOOP
                                                                                                                           LOOP
           signal(Aready);
                                                wait(Aready);
                                                                                        . . .
           waitTime(time);
                                                . . .
                                                                                                                            signal(Bready);
                                                                                        signal(Aready);
          END;
                                               END;
                                                                                        wait(Bready);
                                                                                                                            wait(Aready);
                                                                                                                           END;
                                                                                       END;
   The semaphore Aready is initilized to 0 and may take any non-negative
   value. It is also called counting semaphore. Sometimes different data
   types are provided for binary and counting semaphores.
                                                                        14
                                                                                                                                                      15
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[STORK] Semaphores	[STORK] Semaphores
<pre>[STORK] Semaphores 1 TYPE 2 Semaphore = POINTER TO SemaphoreRec; 3 SemaphoreRec = RECORD 4 counter : CARDINAL; 5 waiting : Queue; 6 (* Queue of waiting processes *) 7 END; wait(sern); 1 IF sem[^].counter = 0 THEN 2 insert Running into waiting queue; 3 ELSE sem[^].counter = sem[^].counter - 1; signal(sern); 1 IF waiting is not empty THEN</pre>	<pre>[STORK] Semaphores PROCEDURE Wait(sem: Semaphore); VAR oldDisable : InterruptMask; BEGIN oldDisable := Disable(); WITH sem^ DO IF counter > 0 THEN DEC(counter); ELSE MovePriority(Running, vaiting); Schedule; Schedule; END; END; Reenable(oldDisable); Renable(oldDisable); </pre>
2 move the first process in waiting to the ready queue 3 ELSE sem [^] .counter = sem [^] .counter + 1; 16	17 LND walt,
[STORK] Semanhores	[STORK] Semanhores
<pre>PROCEDURE Signal(sem: Semaphore); VAR oldDisable : InterruptMask; BEGIN oldDisable := Disable(); WITH sem^c D0 IF NOT isEmpty(waiting) THEN MovePriority(waiting[^].succ, ReadyQueue); Schedule; ELSE INC(counter); END; END; Reenable(oldDisable); END Signal;</pre>	<pre>PROCEDURE New (VAR semaphore : Semaphore; initialValue : INTEGER; a name : ARRAY OF CHAR); (* Creates the semaphore and initializes it to its 'initialValue', 'name' is used for debugging *) FEND New; PROCEDURE Dispose (VAR semaphore : Semaphore); (* Deletes the semaphore. If there are processes waiting for it, an error is reported *) END Dispose; </pre>
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Semaphores (improved)	Semaphores (improved)
The standard way of implementing semaphores can be improved. Process A (high priority) wait(mutex); signal(mutex); wait(mutex); wait(mutex); wait(mutex); wait(mutex); wait(mutex); waits (the mutex is free) context switch from A to B waits (inserted into the waiting queue) context switch from B to A <td><pre>A has higher priority, so it would be better if A hold the mutex. wait(sem); LOOP IF sem⁻.counter = 0 THEN sinsert Running into waiting queue; ELSE sem⁻.counter = sem⁻.counter - 1; EXIT; END; (* IF *) END; (* IGP *) signal(sem); IF waiting is not empty THEN move the first process in waiting to the ready queue ELSE sem⁻.counter = sem⁻.counter + 1;</pre></td>	<pre>A has higher priority, so it would be better if A hold the mutex. wait(sem); LOOP IF sem⁻.counter = 0 THEN sinsert Running into waiting queue; ELSE sem⁻.counter = sem⁻.counter - 1; EXIT; END; (* IF *) END; (* IGP *) signal(sem); IF waiting is not empty THEN move the first process in waiting to the ready queue ELSE sem⁻.counter = sem⁻.counter + 1;</pre>
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Semaphores (improved)

- A waits (the mutex is free, counter 0) context switch from A to B
- ${\sf B}$ waits (inserted into the waiting queue) context switch from ${\sf B}$ to ${\sf A}$
- $\bullet\,$ A signal, B moved to the ready queue, no context switch, counter 1
- A waits (counter decreased to 0), A holds the semaphore
- context switch from A to B, B checks again if it holds the semaphore
- B inserted into the waiting queue, context switch from B to A
- $\bullet\,$ A signal, B moved to the ready queue, no context switch, counter 1
- context switch from A to B, B checks again if it holds the semaphore
- B sets the counter to 0 and holds the semaphore

[JAVA] Atomic Classes

In Java there is a small set of classes that allows atomic reads, writes and test-and-set operations. They belong to java.util.concurrent.atomic.

- AtomicInteger, AtomicBoolean, AtomicLong, AtomicReference and arrays of these
- boolean compareAndSet(expectedValue, updateValue); test-and-set primitive
- int get(); read method on AtomicInteger
- void set(int value); write method on AtomicInteger

Condition Synchronization

[JAVA] Semaphores

Semaphores were originally not a part of Java. Added in Java 1.5. They are part of java.utils.concurrent.

- Semaphore class
- acquire(); corresponds to wait();
- release(); corresponds to signal();

It is also possible to implement a Semaphore class using synchronized methods (we will use that, in this course).

A combination of access to common data under mutual exclusion with synchronization of type "data is available". Checking some logical condition on the shared data, when the condition becomes true there is an event.



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[STORK] Critical Section [STORK] Critical Section TYPE CriticalSection = RECORD mutex, change : semaphore; waiting : INTEGER; dataBuffer : buffer; END; VAR R: Critical Section; 6 The condition test must be performed under mutual exclusion. (* Producer Process *) (* Consumer Process *) 8 9 The while construct is necessary because there might be several WITH R DO WITH R DO 10 consumer process that are waken up at the same time. Wait(mutex); Wait(mutex); 11 enter data into buffer; WHILE NOT "data available" DO 12 A better solution to this problem is obtained via monitors. WHILE waiting > 0 DO INC(waiting); 13 DEC(waiting); Signal(mutex); 14 Signal(change); Wait(change); 15END; Wait(mutex); 1617 Signal(mutex); END: get data from buffer: 18 END: 19 Signal(mutex): . . . END: 20 26 27

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Semaphores (summary)

- A low-level real-time primitive that can be used to obtain mutual exclusion and synchronization.
- Requires programmer discipline. A misplaced wait or signal is difficult to detect and may have unpredictable and disastrous results.
- Condition synchronization with semaphores is complicated.

Monitors

Monitors are a communication mechanism that combines mutual exclusion with condition synchronization. Sometimes they are called mutex. A monitor consists of:

- Internal data structures (hidden)
- Mutually exclusive operations upon the data structure (STORK: monitor procedures, Java: synchronized methods)

[STORK] Monitor Procedure

Mutually esclusive section enclosed in an enter-leave pair. Acts as a mutual exclusion semaphore.

- VAR mutex : MONITOR;
- (* Monitor *) PROCEDURE Proc():
- BEGIN
- Enter(mutex);
- 7 Leave(mutex);
- END Proc;

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Condition Variables

Monitors

Condition synchronization is obtained via condition variables (monitor events, event variables). A condition variable is associated with a monitor and has a queue of processes waiting for the event).

A thread can decide to wait for an event. A thread can notify other threads that the event has occurred. These operations are called within the monitor. If a thread decided to wait for an event the monitor is released and the thread reenters the monitor when the event occurs.

Condition variables are represented by variables of type Event.

PROCEDURE Await(ev: Event);

[STORK] Condition Variables

Blocks the current process and places it in the queue associated with the event. Performs an implicit Leave. May only be called from within a monitor procedure.

PROCEDURE Cause(ev: Event);

All processes that are waiting in the queue of the event are moved to the monitor queue and inserted according to their priority. If no process is waiting, cause corresponds to a null operation. May only be called from within a monitor procedure.

[STORK] Condition Variables	[STORK] Critical Section Monitor for Producer-Consumer
<pre>PROCEDURE NewEvent (VAR ev : EVENT; mon : MONITOR; name: ARRY OF CHAR; Initializes the event and associates it with the monitor guarded by mon. PROCEDURE DisposeEvent (ev : EVENT); Deletes the event.</pre>	<pre>1 TYPE CriticalSectionMonitor = RECORD 2 mon : Monitor; 3 change : Event; 4 databuffer : buffer; 5 END; 6 VAR R: CriticalSectionMonitor; 7 8 (* Producer Process *) (* Consumer Process *) 9 10 WITH R D0 WITH R D0 11 Enter(mon); Enter(mon); 12 enter data into buffer; WHILE NOT "data available" D0 13 Cause(change); Avait(change); 14 Leave(mon); END; 15 END; get data from buffer; Leave(mon); 17 END; 17 END;</pre>
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Spurious Wakeups	[STORK] Monitor
<text><list-item><list-item></list-item></list-item></text>	Monitor queue Shared data Event queues Process currently 00 Process currently 00 Monitor Procedures Monitor Procedures
[STORK] Monitor Implementation	[STORK] Monitor Implementation (Enter)
	PROCEDURE Enter(mon: Monitor);

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6 BEGIN

WITH mon[^] DO

ELSE

END;

Reenable(oldDisable);

END;

END;

20 END Enter;

oldDisable : InterruptMask;

oldDisable := Disable(); LOOP

IF blocking = NIL THEN blocking := Running; EXIT;

MovePriority(Running,waiting);
Schedule;

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END;

END;

Monitor = POINTER TO MonitorRec;

Event = POINTER TO EventRec;

MonitorRec = RECORD

EventRec = RECORD evMon : Monitor; waiting : Queue;

next : Event;

waiting : Queue; blocking : ProcessRef; events : Event;

<pre>1 PROCEDURE Leave(mon: Monitor); 2 3 VAR 4 oldDisable : InterruptMask; 5 6 BEGIN 7 WITH mon⁻ D0 8 oldDisable := Disable(); 9 blocking := NIL; 10 blocking := NIL; 10 blocking := NIL; 11 MovePriority(waiting) THEN 12 Schedule; 13 END; 14 Reenable(oldDisable); 15 END; 16 END Leave;</pre> 1 PROCEDURE Await(ev: Event); 1 1 PROCEDURE Await(event); 1 1 PROCEDURE Await(event); 1 1	[STORK] Monitor Implementation (Leave)	[STORK] Monitor Implementation (Await)
	<pre>PROCEDURE Leave(mon: Monitor); VAR oldDisable : InterruptMask; BEGIN VWITH mon^ D0 oldDisable := Disable(); blocking := NIL; oldPhi IF NOT IsEmpty(waiting) THEN MovePriority(waiting^.succ,ReadyQueue); Schedule; Schedule; END; END; END; END; END Leave;</pre>	<pre>PROCEDURE Await(ev: Event); VAR oldDisable : InterruptMask; BEGIN oldDisable := Disable(); Leave(ev^.evMon); MovePriority(Running,ev^.waiting); Schedule; Reenable(oldDisable); Enter(ev^.evMon); END Await;</pre>

[STORK] Monitor Implementation (Cause)	[JAVA] Synchronized Methods
<pre>PROCEDURE Cause(ev : Event); VAR oldDisable : InterruptMask; pt : ProcessRef; BEGIN oldDisable := Disable(); LOOP pt := ev^.waiting^.succ; I IF ProcessRef(ev^.waiting) = pt THEN EXIT (* Event queue empty *) ELSE MovePriority(pt,ev^.evMon^.waiting); ELSE MovePriority(pt,ev^.evMon^.waiting); END; END; Reenable(oldDisable); END Cause;</pre>	Monitors are implemented as Java objects with <i>synchronized methods</i> . The Java platform maintains a lock for every object that has synchronized methods. Before a thread is allowed to start executing a synchronized method it must obtain the lock. When a thread finishes executing a synchronized method the lock is released. Threads waiting to acquire a lock are blocked. Java does not specify how blocked threads are stored or which policy that is used to select which thread that should acquire a newly released lock. Often a priority-sorted queue is used.

[JAVA] Synchronized Methods	[JAVA] Synchronized Methods
<pre>public class MyMonitor { public int x; public synchronized void method1() { // Code for method 1 // Code for method 2 //</pre>	<pre>public class MyMonitor { public int x; public synchronized void method1() { // Code for method 1 } public void method2() { // Code for method 2 // Code for method 2</pre>

[JAVA] Synchronized Methods	[JAVA] Synchronized Blocks
 Java locks are reentrant. A thread holding the lock for an object may call another synchronized method of the same lock. In STORK this would lead to a deadlock. Static methods can also be synchronized. Each class has a class lock, the class lock and the instance lock are distinct, unrelated, locks. 	<pre>Synchronization can be provided for smaller blocks of code than a method. public void MyMethod() { // unsychronized code synchronized (this) { // could also be synchronized (obj) // synchronized code } // unsychronized code } Acquires the same object lock as if it had been the whole method that had been synchronized. Using synchronous blocks it is also possible to synchronize on other objects. The code of the synchronized block is, from a synchronization point of view, executed as if it instead had been a call to a synchronized method of the object obj.</pre>
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<text><text><text><text><list-item><list-item><list-item><list-item></list-item></list-item></list-item></list-item></text></text></text></text>	<pre>JAVA] Condition Synchronization The Java method wait() corresponds to STORK's Await(ev : Event):</pre>
[JAVA] Condition Synchronization	[JAVA] Anonymous Condition Variables
<pre>The Java method notifyAll() corresponds to STORK's Cause(ev : Event): method of class Object; no argument; may only be called within synchronization; all threads waitining for the anonymous event for the object are woken up (moved to the "waiting" queue of the object) The Java method notify() just wakes up one thread: not available in STORK; not specified which thread is woken up; in most implementations it is the first one in the queue; may only be called within synchronization.</pre>	Having only one condition variable per synchronized object can lead to inefficiency. Assume that several threads need to wait for different conditions to become true. With Java synchronized objects the only possibility is to notify all waiting threads when any of the conditions become true. Each thread must then recheck its condition, and, perhaps, wait anew. May lead to unnecessary context switches. Java design flaw!
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[JAVA] Producer-Consumer	[JAVA] Producer-Consumer
<pre>Multiple producers and consumers. Buffer of length 1 containing an integer. Four classes: Buffer, Consumer, Producer, Main. public class Buffer { private int data; private int data; private boolean full = false; private boolean empty = true;</pre>	<pre>5 public synchronized void put(int inData) { 6 while (full) { 7 try { 8 wait(); 9 } catch (InterruptedException e) { 10 e.printStackTrace(); 11 } 12 } 13 data = inData; 14 full = true; 15 empty = false; 16 notifyAll(); 17 }</pre>
51	52
<pre>s public synchronized int get() { y while (empty) { y try { y wait(); } catch (InterruptedException e) { e.printStackTrace(); } e.printStackTrace(); } full = false; } empty = true; e notifyAll(); y return data; } } </pre>	<pre>public class Consumer extends Thread { private Buffer b; public Consumer(Buffer bu) { b = bu; b = bu; f public void run() { int data; while (true) { int data = b.get();</pre>
[JAVA] Producer-Consumer	[JAVA] Producer-Consumer
<pre>public class Producer extends Thread { private Buffer b; public Producer(Buffer bu) { b = bu; b = bu; } public void run() { int data; while (true) { // Generate data b.put(data); } } </pre>	<pre>public class Main { public static void main(String[] args) { Buffer b = new Buffer(); Buffer b = new Producer(b); Consumer r = new Consumer(b); w.start(); r.start(); l r.start(); } } </pre>

[JAVA] Semaphores	[JAVA] Condition variables
Semaphores can be implemented using synchronized methods. public final class Semaphore { // Constructor that initializes the counter to 0 public Semaphore(); // Constructor that initilizes the counter to init public Semaphore(int init); // The wait operation (Wait is a Java keyword) public synchronized void take(); // The signal operation public synchronized void give(); }	Condition variables can also be implemented using synchronization. Can be used to obtain condition synchronization in combination with class Semaphore. public class ConditionVariable { // Constructor that associates the condition variable // with a semaphore public ConditionVariable(Semaphore sem); // The wait operation public void cvNait(); // The notify operation public synchronized void cvNotify(); // The notifyAll operation public synchronized void cvNotifyAll(); }
Homework	[IAVA] Producer-Consumer II
Study the implementation of the classes Semaphore and ConditionVariable in the text book.	<pre>Using classes Semaphore and ConditionVariable. Only the class Buffer changes.</pre>
<pre>13 public void put(int inData) { 14 mutex.take(); 15 while (full) { 16 try { 17 nonFull.cvWait(); 18</pre>	<pre>2s public int get() { 29 int result; 30 31 mutex.take(); 32 while (empty) { 33 try { 34 nonEmpty.cvWait(); 35 } catch (InterruptedException e) { 36 e.printStackTrace(); 37 } 38 } 39 result = data; 40 full = false; 41 empty = true; 42 nonFull.cvNotifyAll(); 43 mutex.give(); 44 return result; 45 } 46 } </pre>

[JAVA] Monitors	Monitors (summary)
 The following basic programming rules are good practice to follow. Do not mix a thread and a monitor in the same object/class: a monitor should be a passive object with synchronized access methods; you may use a passive monitor object as an internal object of another, possible active, object. Do not use synchronized blocks unnecessarily. 	 A high-level primitive for mutual exclusion and condition synchronization. Implemented using synchronized methods/blocks in Java. Semaphores and condition variables can be implemented.
63	64
[C-Linux] Synchronization	[C-Linux] Kernel Synchronization
Linux supports synchronization in many ways. Part of it is provided by the Linux Kernel and is intended to be used primarily by the Kernel but can be used also by userspace processes (often inefficient). Part of it is provided by the Posix (pthread ³) library and is intended to be used by userspace applications.	 Spin Lock (Kernel): similar to a binary semaphore, but a thread that wants to take a lock held by another thread will wait via spinning (busy waiting); assumes that the thread holding the lock can be preempted; inefficient use of the CPU; should only be held for very short periods of time. Semaphores (Kernel): counting semaphores; operations (up() for wait and down() for signal.
65	66
<pre>[C-Linux] Pthread Synchronization: Thread Creation #include <pthread.h> #include <stdio.h> #define NUM_THREADS 4 void *PrintHello (void *threadid) { long tid; tid = (long)threadid; printf("Hello World! It's me, thread #%ld!\n", tid); pthread_exit(NULL); } int main (int argc, char *argv[]) { pthread_t threads[NUM_THREADS]; int rc; long t; for (t=0; t<num_threads; %ld\n",="" (rc)="" (void="" *)t);="" creating="" exit(-1);="" if="" main:="" null,="" printf("error\n");="" printf("in="" printhello,="" rc="pthread_create(&threads[t]," t);="" t++)="" td="" thread="" {="" }<=""><td><pre>[C-Linux] Pthread Synchronization: Mutex 1 #include <pthread.h> 2 int main (int argc, char *argv[]) { 3 pthread_mutex_t mx; 4 pthread_mutex_init(&mx, NULL); 5 6 /* Locking and unlocking the mutex */ 7 pthread_mutex_lock (&mx); 8 // Here goes the critical section code 9 pthread_mutex_unlock (&mx); 10 11 pthread_mutex_destroy(&mx); 12 exit(0); 13 }</pthread.h></pre></td></num_threads;></stdio.h></pthread.h></pre>	<pre>[C-Linux] Pthread Synchronization: Mutex 1 #include <pthread.h> 2 int main (int argc, char *argv[]) { 3 pthread_mutex_t mx; 4 pthread_mutex_init(&mx, NULL); 5 6 /* Locking and unlocking the mutex */ 7 pthread_mutex_lock (&mx); 8 // Here goes the critical section code 9 pthread_mutex_unlock (&mx); 10 11 pthread_mutex_destroy(&mx); 12 exit(0); 13 }</pthread.h></pre>
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[C-Linux] Pthread Synchronization: Condition Variables

- pthread_cond_wait unlocks the mutex and waits for the condition variable to be signaled;
- pthread_cond_timedwait lace limit on how long it will block;
- pthread_cond_signal restarts one of the threads that are waiting on the condition variable cond;

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• pthread_cond_broadcast wakes up all threads blocked by the specified condition variable.