# **Control System Design - Interaction**

Bo Bernhardsson and Karl Johan Aström

Department of Automatic Control LTH, Lund University

#### Interaction

- Introduction
- Interaction of Simple Loops
- Multivariable zeros
- Decoupling
- Parallel Systems
- Summary

Theme: When the wires get crossed

#### Introduction

- Centralized or distributed
- The process control experience

A few important variables were controlled using the single loop paradigm: one sensor, one actuator and one controller Loops were added, feedforward, cascade, midrange, selectors Not obvious how to associate sensors and actuators - The pairing problem

- Early process control systems were distributed and decentralized, centralization came with computer control
- The state-feedback paradigm centralized control
- Decentralized control popular for large systems
- What happens when loops are interacting?
- Interaction measures The relative gain array RGA, Singular values
- Decoupling: static, dynamic (different time scales), different physical mechanisms, mass balance, energy balance

#### **A Large Process**

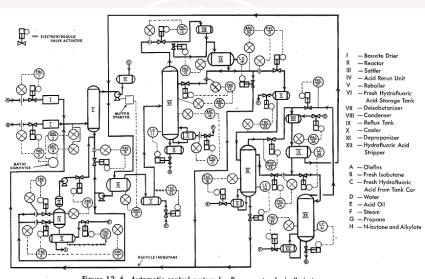
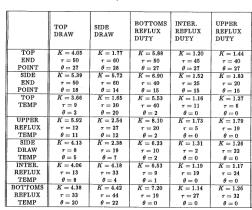


Figure 13-6. Automatic control system for Perco motor fuel alkylation process.

#### **The Shell Standard Control Problem**



#### Units for $\theta$ , $\tau$ are in minutes.





### **Paper Machine Control**

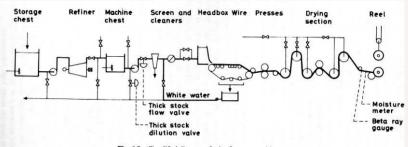
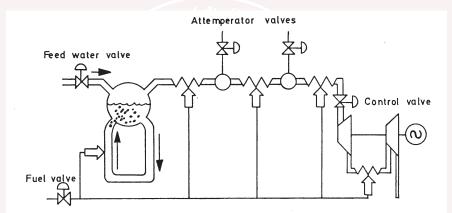


Fig. 6.9. Simplified diagram of a kraft paper machine.

- Primary quality: Basis weight and moisture
- Head box control: Pressure and flow rate

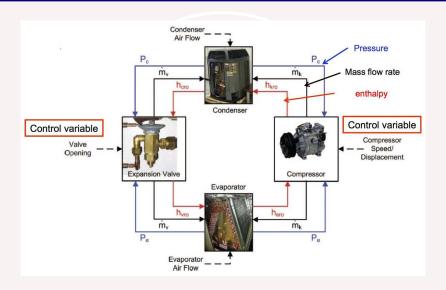
#### **Boiler Control**



Schematic diagram of the boiler-turbine unit.

Who is the boss? Boiler leading turbine or turbine leading boiler.

#### A Small Process - Chiller

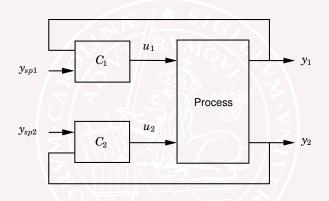


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### **Interaction of Simple Loops**



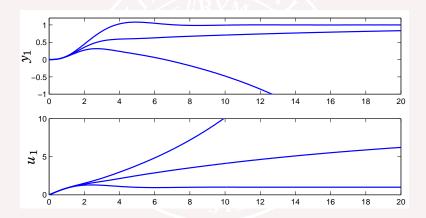
$$Y_1(s) = p_{11}(s)U_1(s) + p_{12}U_2(s)$$

$$Y_2(s) = p_{21}(s)U_1(s) + p_{22}U_2(s),$$

What happens when the controllers are tuned individually?

### An Example

Controller  $C_1$  is a PI controller with gains  $k_1 = 1$ ,  $k_i = 1$ , and the  $C_2$  is a proportional controller with gains  $k_2 = 0$ , 0.8, and 1.6.



The second controller has a major impact on the first loop!

## **Analysis**

$$Y_1(s) = rac{1}{(s+1)^2}U_1(s) + rac{2}{(s+1)^2}U_2(s)$$
  
 $Y_2(s) = rac{1}{(s+1)^2}U_1(s) + rac{1}{(s+1)^2}U_2(s).$ 

P-control of second loop  $U_2(s) = -k_2 Y_2(s)$  gives

$$Y_1(s) = g_{11}^{cl}(s)U_1(s) = \frac{s^2 + 2s + 1 - k_2}{(s+1)^2(s^2 + 2s + 1 + k_2)}U_1(s).$$

The gain  $k_2$  in the second loop has a significant effect on the dynamics in the first loop. The static gain is

$$g_{11}^{cl}(0) = \frac{1 - k_2}{1 + k_2}.$$

Notice that the gain decreases with increasing  $k_2$  and becomes negative for  $k_2 > 1$ .

#### **Bristol's Relative Gain**

- A simple way of measuring interaction based on static properties
- Edgar H. Bristol On a new measure of interaction for multivariable process control IEEE TAC 11(1967) 133–135
- Idea: What is effect of control of one loop on the steady state gain of another loop?
- Consider one loop when the other loop is under perfect control

$$Y_1(s) = p_{11}(s)U_1(s) + p_{12}U_2(s)$$
  
$$0 = p_{21}(s)U_1(s) + p_{22}U_2(s).$$

#### **Bristol's Relative Gain**

Consider the first loop  $u_1 \rightarrow y_1$  when the second loop is in perfect control  $(y_2 = 0)$ 

$$Y_1(s) = p_{11}(s)U_1(s) + p_{12}U_2(s)$$
  
$$0 = p_{21}(s)U_1(s) + p_{22}U_2(s).$$

Eliminating  $U_2(s)$  from the second equation gives

$$Y_1(s) = \frac{p_{11}(s)p_{22}(s) - p_{12}(s)p_{21}(s)}{p_{22}(s)}U_1(s).$$

The ratio of the static gains of loop 1 when the second loop is open and closed is

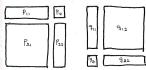
$$\lambda = \frac{p_{11}(0)p_{22}(0)}{p_{11}(0)p_{22}(0) - p_{12}(0)p_{21}(0)}.$$

Parameter  $\lambda$  is called *Bristol's interaction index* 

### **Many Loops**

Assume n inputs and n outputs. Pick an input output pair and relabel so that the output is  $y_1$ , let the remaining outputs be  $y_2 = 0$ . Let the input be  $u_2$  and the remaining inputs be  $u_1$ .

$$y_1 = p_{11}u_1 + p_{12}u_2$$
$$0 = p_{21}u_1 + p_{22}u_2$$



Solving for  $y_1$  gives (notice the Schur complement)

$$y_1 = (p_{12} - p_{11}p_{21}^{-1}p_{22})u_2, \qquad r_{12} = \frac{p_{12}}{p_{12} - p_{11}p_{21}^{-1}p_{22}}$$

Compare

$$P = \begin{pmatrix} p_{11} & p_{12} \\ p_{21} & p_{22} \end{pmatrix}, \qquad P^{-1} = Q = \begin{pmatrix} \dots & \dots \\ p_{12} - p_{11}p_{21}^{-1}p_{22} & \dots \end{pmatrix}$$

The relative gain array is  $R = P \bullet P^{-T}$  (Hadamard or Schur product)

# **Bristol's Relative Gain Array**

Let P(s) be an  $n \times n$  matrix of transfer functions. The relative gain array is

$$\Lambda = P(0) \bullet P^{-T}(0)$$

The product is element by element product (Schur or Hadamard product). Properties

- $\bullet (A \bullet B)^T = A^T \bullet B^T$
- P diagonal gives  $\Lambda = I$

#### Insight and use

- A measure of static interactions for square systems which tells how the gain in one loop is influenced by perfect feedback on all other loops
- Dimension free. Row and column sums are 1.
- Negative elements correspond to sign reversals due to feedback of other loops

### **Pairing**

When designing complex systems loop by loop we must decide what measurements should be used as inputs for each controller. This is called the *pairing* problem. The choice can be governed by physics but the relative gain can also be used

Consider the previous example

$$P(0) = \begin{pmatrix} 1 & 2 \\ 1 & 1 \end{pmatrix}, \qquad P^{-1}(0) = \begin{pmatrix} -1 & 2 \\ 1 & -1 \end{pmatrix}$$
$$\Lambda = P(0) \bullet P^{-T}(0) = \begin{pmatrix} -1 & 2 \\ 2 & -1 \end{pmatrix},$$

- Negative sign indicates the sign reversal found previously
- ullet Better to use reverse pairing, i.e. let  $u_2$  control  $y_1$

# Pairing ...

Consider

$$P(s) = \begin{pmatrix} \frac{1}{(s+1)^2} & \frac{2}{(s+1)^2} \\ \frac{1}{(s+1)^2} & \frac{1}{(s+1)^2} \end{pmatrix}$$

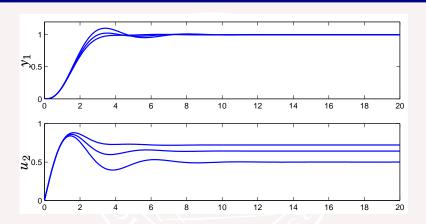
Introducing the feedback  $u_1 = -k_2y_2$  gives

$$Y_1(s) = g_{12}^{cl}(s)U_2(s) = rac{2s^2 + 4s + 2 + k_2}{(s+1)^2(s^2 + 2s + 1 + k_2)}U_2(s),$$

Zero frequency gain decreases from 2 to 1 when  $k_2$  ranges from 0 to  $\infty$ .

Discuss how dynamics changes with  $k_2$ ! Use rootlocus!

# **Step Responses with Reverse Pairing**



• 
$$U_2 = \left(1 + \frac{1}{s}\right)(Y_{sp1} - Y_1)$$

•  $u_1 = -k_2y_2$  with  $k_2 = 0$ , 0.8, and 1.6.

# Summary for $2 \times 2$ Systems

- $\lambda = 1$  No interaction
- $\lambda=0$  Closed loop gain  $u_1 \to y_1$  is zero. Pair  $u_1$  and  $y_2$  instead
- $0<\lambda<1$  Closed loop gain  $u_1\to y_1$  is larger than open loop gain. Interaction strongest for  $\lambda=1$
- $\lambda>1$  Closed loop gain  $u_1\to y_1$  is smaller than open loop gain. Interaction increases with increasing  $\lambda$ . Very difficult to control both loops independently if  $\lambda$  is very large.
- $\lambda < 0$  The closed loop gain  $u_1 \to y_1$  has different sign than the open loop gain. Opening or closing the second loop has dramatic effects. The loops are counteracting each other. Such pairings should be avoided for decentralized control and the loops should be controlled jointly as a multivariable system.

## **Singular Values**

Let A be an  $k \times n$  matrix whose elements are complex variables. The singular value decompostion of the matrix is

$$A = U\Sigma V^*$$

where \* denotes transpose and complex conjugation, U and V are unitary matrices ( $UU^*=I$  and  $VV^*=I$  is. The matrix  $\Sigma$  is a  $k\times n$  matrix such that  $\Sigma_{ii}=\sigma_i$  and all other elements are zero. The elements  $\sigma_i$  are called singular values. The largest  $\overline{\sigma}=\max_i\sigma_i$  and smallest  $\underline{\sigma}=\min_i\sigma$  singular values are of particular interest. The number  $\overline{\sigma}/\underline{\sigma}$  is called the condition number. The singular values are the square roots of the eigenvalues of  $A^*A$ .

Example: A real  $2 \times 2$  matrix can be written as

$$A = \begin{pmatrix} \cos \theta_1 & -\sin \theta_1 \\ \sin \theta_1 & \cos \theta_1 \end{pmatrix} \begin{pmatrix} \sigma_1 & 0 \\ 0 & \sigma_2 \end{pmatrix} \begin{pmatrix} \cos \theta_2 & \sin \theta_2 \\ -\sin \theta_2 & \cos \theta_2 \end{pmatrix}$$

## Singular Decomposition $A = U\Sigma V^*$

- ullet The columns  $u_i$  of U represent the output directions
- ullet The columns  $v_i$  of V represent the input directions
- We have  $AV = U\Sigma$ , or  $Av_i = \sigma_i u_i$ . An input in the direction  $v_i$  thus gives the output  $\sigma_i u_i$ , i.e. in the direction  $u_i$
- Since the vectors  $u_i$  and  $v_i$  are of unit length the gain of A for the input  $u_i$  is  $\sigma_i$
- The largest gain is  $\bar{\sigma} = \max_i \sigma_i$
- There are efficient numerical algorithms svd in Matlab
- Singular values can be applied to nonsquare matrices
- ullet A natural way to define gain for matrices A and transfer function matrices G(s)

$$\operatorname{gain} = \max_v rac{||Av||}{||v||} = \overline{\sigma}(A), \qquad \operatorname{gain} = \max_\omega \overline{\sigma}(G(i\omega))$$

## **Interaction Analysis**

Consider a system with the scaled zero frequency gain

$$\begin{pmatrix} y_1 \\ y_2 \\ y_3 \end{pmatrix} = \begin{pmatrix} 0.48 & 0.90 & -0.006 \\ 0.52 & 0.95 & 0.008 \\ 0.90 & -0.95 & 0.020 \end{pmatrix} \begin{pmatrix} u_1 \\ u_2 \\ u_3 \end{pmatrix}$$

Relative gain array

$$\Lambda = \begin{pmatrix} 0.7100 & -0.1602 & 0.4501 \\ -0.3557 & 0.7925 & 0.5632 \\ 0.6456 & 0.3677 & -0.0133 \end{pmatrix}$$

Singular values:  $\sigma_1=1.6183$ ,  $\sigma_2=1.1434$  and  $\sigma_3=0.0097$ . Condition number  $\kappa=166$ . Only two outputs can be controlled. What variables should be chosen?

### **Interaction Analysis**

We have  $y = U S V^T$ . How to pick two input output pairs

$$SV^T = \begin{pmatrix} -0.088 & -1.616 & 0.010 \\ 1.142 & -0.062 & 0.018 \\ -0.000 & 0.000 & 0.010 \end{pmatrix} \quad U = \begin{pmatrix} -0.571 & 0.377 & -0.729 \\ -0.604 & 0.409 & 0.684 \\ 0.556 & 0.831 & -0.007 \end{pmatrix}$$

The matrix  $SV^T$  shows that  $u_1$  and  $u_2$  are obvious choices of inputs. There are two choices of outputs:  $y_1, y_3$  or  $y_2, y_3$ , based on the angles between rows,  $y_1, y_2$  is not a good choice because the corresponding rows of US are almost parallel. Singular values:

Selection 
$$y_1, y_3 \leftarrow u_1, u_2$$
 Condition number  $\kappa = 1.51$ 

Selection 
$$y_2, y_3 \leftarrow u_1, u_2$$
 Condition number  $\kappa = 1.45$ 

$$\Lambda = \begin{pmatrix} 0.3602 & 0.6398 \\ 0.6398 & 0.3602 \end{pmatrix}$$

$$\Lambda = \begin{pmatrix} 0.3662 & 0.6338 \\ 0.6338 & 0.3662 \end{pmatrix}$$

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## **Zeros of Multivariable Systems**

Roughly speaking transmission zeros are values of s where the transmission of the signal  $e^{st}$  is blocked

$$Y(s) = P(s) v e^{st}, \qquad 0 = P(s) v$$

There is a nontrivial v only if the matrix P(s) is singular. For a square system the zeros  $s_i$  are given by

$$\det P(s) = 0$$

and the *zero directions* are the corresponding right eigenvectors of  $P(s_i)$ .

## Rosenbrock's Example

There is a nice collection of linear multivariable systems with interesting properties. Here is one of them

$$P(s) = \begin{pmatrix} \frac{1}{s+1} & \frac{2}{s+3} \\ \frac{1}{s+1} & \frac{1}{s+1} \end{pmatrix}$$

Very benign subsystems. Relative gain array

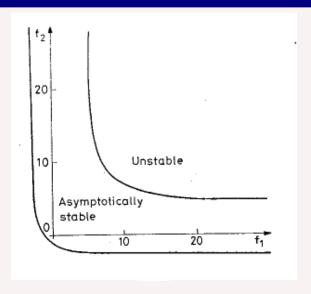
$$R = \begin{pmatrix} 1 & 2/3 \\ 1 & 1 \end{pmatrix} \bullet \begin{pmatrix} 3 & -3 \\ -2 & 3 \end{pmatrix} = \begin{pmatrix} 3 & -2 \\ -2 & 3 \end{pmatrix},$$

The transmission zeros are given by

$$\det P(s) = \frac{1}{s+1} \left( \frac{1}{s+1} - \frac{2}{s+3} \right) = \frac{1-s}{(s+1)^2(s+3)} = 0.$$

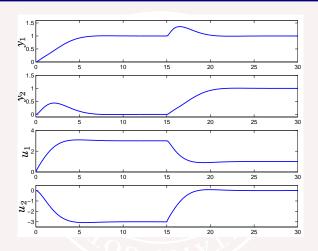
Difficult to control the system with gain crossover frequencies larger than  $\omega_{qc}=0.5$ .

# **Stability Region - P in Both Loops**



Discuss commissioning and windup!

### Rosenbrock's Example



PI controllers with  $k_p = 2$  and  $k_i = 2$  in both loops. Systems becomes unstable if gains are increased by a factor of 3.

#### **Interactions Can be Beneficial**

$$P(s) = \begin{pmatrix} p_{11}(s) & p_{12}(s) \\ p_{21}(s) & p_{22}(s) \end{pmatrix} = \begin{pmatrix} \frac{s-1}{(s+1)(s+2)} & \frac{s}{(s+1)(s+2)} \\ \frac{-6}{(s+1)(s+2)} & \frac{s-2}{(s+1)(s+2)} \end{pmatrix}.$$

The relative gain array

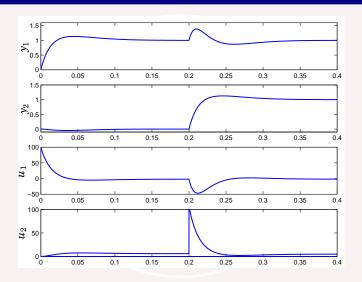
$$R = \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix},$$

Transmission zeros

$$\det P(s) = \frac{(s-1)(s-2)+6s}{(s+1)^2(s+2)^2} = \frac{s^2+4s+2}{(s+1)^2(s+2)^2}$$

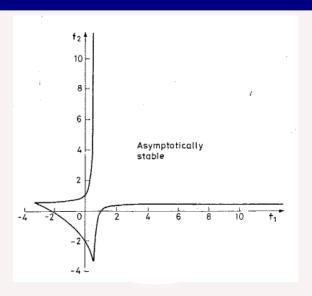
Difficult to control individual loops fast because of the zero at s=1. Since there are no multivariable zeros in the RHP the multivariable system can easily be controlled fast but the system is not robust to loop breaks.

#### Interactions Can be Beneficial ...



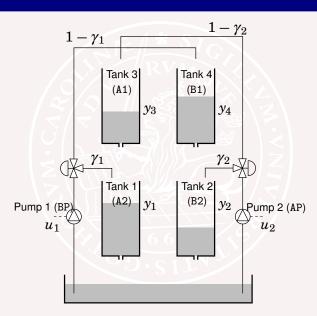
PI controllers with gains k = 100 and  $k_i = 2000$  in both loops

# **Stability Region - P in Both Loops**



Discuss commissioning and windup!

### The Quadruple Tank



#### **Transfer Function of Linearized Model**

Transfer function from  $u_1, u_2$  to  $y_1, y_2$ 

$$P(s) = egin{pmatrix} rac{\gamma_1 c_1}{1+sT_1} & rac{(1-\gamma_2)c_1}{(1+sT_1)(1+sT_3)} \ rac{(1-\gamma_1)c_2}{(1+sT_2)(1+sT_4)} & rac{\gamma_2 c_2}{1+sT_2} \end{pmatrix}$$

Transmission zeros

$$\det P(s) = \frac{(1+sT_3)(1+sT_4) - \frac{(1-\gamma_1)(1-\gamma_2)}{\gamma_1\gamma_2}}{(1+sT_1)(1+sT_2)(1+sT_3)(1+sT_4)}$$

- No interaction if  $\gamma_1 = \gamma_2 = 1$
- Minimum phase if  $1 \le \gamma_1 + \gamma_2 \le 2$
- Nonminimum phase if  $0 < \gamma_1 + \gamma_2 \le 1$ .
- Intuition?

### The Quadruple Tank

Zero frequency gain

$$P(0) = \begin{pmatrix} \gamma_1 c_1 & (1 - \gamma_2) c_1 \\ (1 - \gamma_1 c_2 & \gamma_2 c_2 \end{pmatrix}$$

Relative gain array

$$R = P(0) \bullet P(0)^{-1} = \begin{pmatrix} \lambda & 1 - \lambda \\ 1 - \lambda & \lambda \end{pmatrix}$$

where

$$\lambda = \frac{\gamma_1 \gamma_2}{\gamma_1 + \gamma_2 - 1}$$

Recall RHP zero if  $\gamma_1 + \gamma_2 < 1$ . Physical interpretation!

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# **Decoupling**

Simple idea: Find a compensator so that the system appears to be without coupling.

#### Many versions

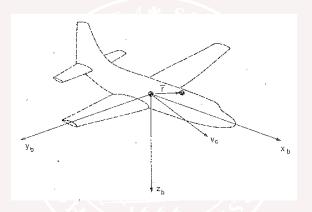
- Input decoupling Q = PD or output decoupling Q = DP
- Conventional (Feedforward)
- Inverse (Feedback)
- Static

Important to consider windup, manual control and mode switches.

Keep the decentralized philosophy

# Decoupling may not be useful – Flight Control

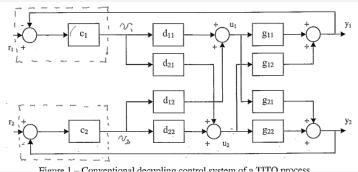
Think about what happens when the plane turns!



An autopilot is typically split into two subsystems:

- lateral (pitch)
- longitudinal (yaw and roll)

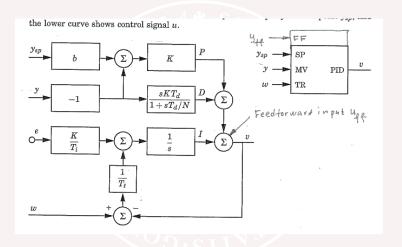
# Feedforward (Conventional) Decoupling



$$D = P^{-1}(s)Q(s) = \frac{1}{\det P(s)} \begin{pmatrix} p_{22}(s) & -p_{12}(s) \\ -p_{21}(s) & p_{11}(s) \end{pmatrix} Q(s)$$

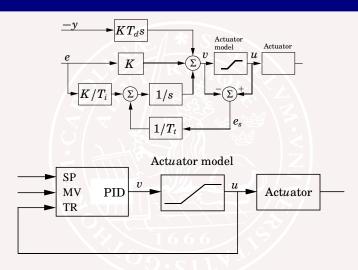
Q(s) is the desired transfer function of decoumpled process. This decoupler has difficulties to deal with anti-windup, manual control and mode changes (auto-tuning). Controller  $C_1$  has no information about  $v_2$ .

#### PID Controller with Tracking and Feedforward



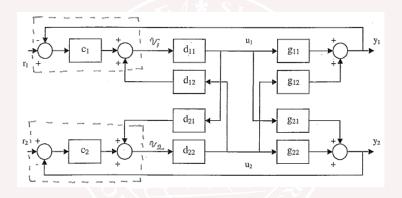
No tracking if w = v!

#### **Anti-windup for Controller with Tracking Mode**



- Notice that there is no tracking effect if u = v!
- The tracking input can be used in many other ways

### Feedback (Inverted) Decoupling



Simple decoupler, easy to deal with anti-windup, manual control and mode changes (auto-tuning) if  $d_{11} = d_{22} = 1$ . Why?

# Inverted (Feedback) Decoupling

$$u = v + Du$$
  

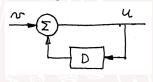
$$(I - D)u = v$$
  

$$u = (I - D)^{-1}v$$
  

$$Q = P(I - D)^{-1}$$
  

$$P = Q - QD$$

Blockdiagram vector case



Easy to solve for  $D_{fb}$  also for systems with many inputs and outputs. Example  $2 \times 2$ , pick  $Q = \operatorname{diag}(p_{11}, p_{22})$ , why?

$$\begin{pmatrix} p_{11} & p_{12} \\ p_{21} & p_{22} \end{pmatrix} = \begin{pmatrix} p_{11} & 0 \\ 0 & p_{22} \end{pmatrix} - \begin{pmatrix} p_{11} & 0 \\ 0 & p_{22} \end{pmatrix} \begin{pmatrix} 0 & d_{12} \\ d_{21} & 0 \end{pmatrix}$$

Hence

$$d_{12} = -rac{p_{12}}{p_{11}}$$
  $d_{21} = -rac{p_{21}}{p_{22}}$ 

# The Wood-Berry Distillation Column

$$P(s) = \begin{pmatrix} \frac{12.8e^{-s}}{16.7s + 1} & \frac{-18.9e^{-3s}}{21.0s + 1}\\ \frac{6.60e^{-7s}}{10.9s + 1} & \frac{-19.4e^{-3s}}{14.4s + 1} \end{pmatrix}.$$

Choose 
$$d_{11}=d_{22}=1$$
 
$$d_{11}=1$$
 
$$d_{12}=-\frac{p_{12}}{p_{11}}=0.68\,\frac{16.7s+1}{21.0s+1}\,e^{-2s}$$
 
$$d_{21}=-\frac{p_{21}}{p_{22}}=0.34\,\frac{14.4s+1}{10.9s+1}\,e^{-4s}$$

Easy to implement. What can go wrong?

#### Wood and His Column - U Alberta



# **Properties of Inverted (Feedback) Decoupling**

- Simple decoupler even for systems with many inputs and many outputs
- Easy to deal with anti-windup, manual control and other mode changes (auto-tuning)
- Decoupler may be noncausal (pure predictor). Try different pairing or add extra time delay in  $d_{ii}$ .
- Since it is a feedback coupling there may be instabilities

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### **Systems with Parallel Actuation**

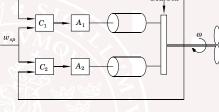
- Motor drives for papermachines and rolling mills
- Trains with several motors or several coupled trains
- Power systems
- Electric cars

# A Prototype Example

$$J \frac{d\omega}{dt} + D\omega = M_1 + M_2 - M_L,$$
Proportional control

$$M_1 = M_{10} + K_1(\omega_{sp} - \omega)$$

$$M_2 = M_{20} + K_2(\omega_{sp} - \omega)$$



Gearbox

The proportional gains tell how the load is distributed

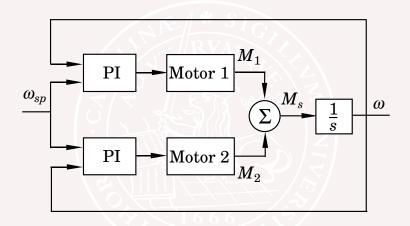
$$J\frac{d\omega}{dt} + (D + K_1 + K_2)\omega = M_{10} + M_{20} - M_L + (K_1 + K_2)\omega_{sp}.$$

A first order system with time constant  $T=J/(D+K_1+K_2)$ 

Discuss response speed, damping and steady state

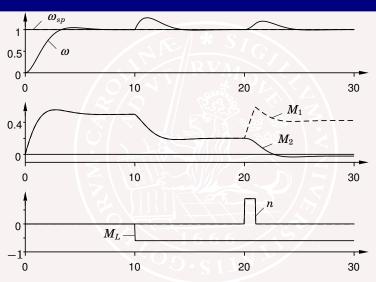
$$\omega = \omega_0 = \frac{K_1 + K_2}{D + K_1 + K_2} \, \omega_{sp} + \frac{M_{10} + M_{20} - M_L}{D + K_1 + K_2}.$$

### **Integral Action?**



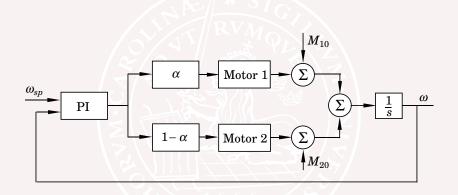
Prototypes for lack of reachability and observability!

# **Integral Action**

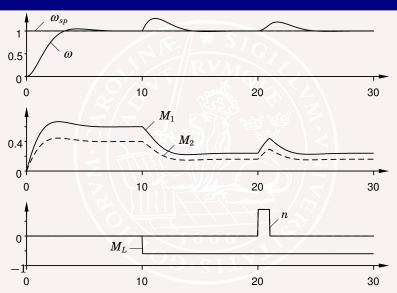


Notice that  $M_2$  is breaking for t > 22

#### **Better Integral Action**



# **Better Integral Action?**



### **Power Systems - Massive Parallellism**

- Edison's experience
   Two generators with
   governors having
   integral action
- Many generators supply power to the net.

Frequency control Voltage control

 Isochronous governors (integral action) and governors with speed-drop (no integral action)



#### **Turbine Governors**

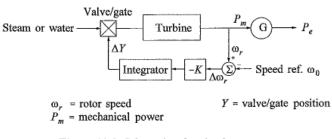


Figure 11.6 Schematic of an isochronous governor

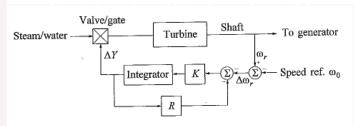


Figure 11.8 Governor with steady-state feedback

#### **Load Sharing - Through Proportional Action**

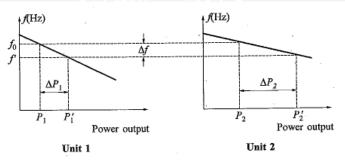


Figure 11.11 Load sharing by parallel units with drooping governor characteristics

In Sweden the final adjustment is made manually

#### Interaction

- Introduction
- Interaction of Simple Loops
- Multivariable zeros
- Decoupling
- Parallel Systems
- Summary

Theme: When the wires get crossed

# **Summary**

- All real systems are coupled
- Relative gain array and singular values give insight
- Never forget process redesign
- Multivariable zeros and zero directions
- Why decouple

Simple system.

SISO design, tuning and operation can be used What is lost?

Multivariable design

Dont forget windup and operational aspects (tuning, manual control, ... )

Parallel systems

One integrator only!

#### **To Learn More**

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- M. Morari and E. Zafiriou Robust Process Control. Prentice Hall 1989.