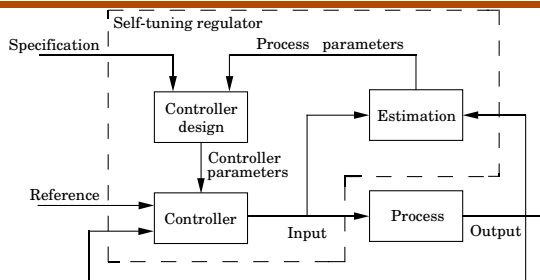




- ▶ What is an adaptive controller?
- ▶ The seventies
- ▶ Industrial products
- ▶ What went wrong? Why?
- ▶ The eighties
- ▶ ABB ECA600 controller
- ▶ Use of adaptive control

### Adaptive control



Many versions:

- ▶ Many control structures
- ▶ Many estimation methods
- ▶ Many design methods

### Process model

$$y(t) = a_1y(t-1) + a_2y(t-2) + \dots + b_1u(t-1) + b_2u(t-2) + \dots + n(t)$$

$$y(t) = \theta^T \varphi(t) + n(t)$$

where

$$\theta = \begin{pmatrix} a_1 \\ a_2 \\ \vdots \\ b_n \end{pmatrix} \quad \varphi(t) = \begin{pmatrix} y(t-1) \\ y(t-2) \\ \vdots \\ u(t-n) \end{pmatrix}$$

Note:

- ▶ Sampling period important!
- ▶ Simple model, no load disturbances

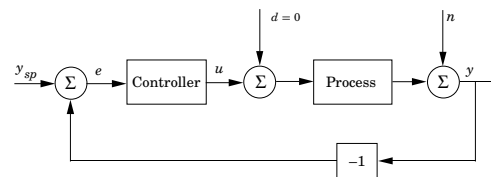
### Recursive least-squares estimation

$$\begin{aligned} \hat{\theta}(t) &= \hat{\theta}(t-1) + P(t)\varphi(t)\epsilon(t) \\ \epsilon(t) &= y(t) - \hat{y}(t) = y(t) - \varphi(t)^T \hat{\theta}(t-1) \\ P(t) &= P(t-1) - \frac{P(t-1)\varphi(t)\varphi(t)^T P(t-1)}{1 + \varphi(t)^T P(t-1)\varphi(t)} \end{aligned}$$

$P(t)$  covariance matrix.

$$\lim_{t \rightarrow \infty} P(t) = 0$$

### Process model



Note - No load disturbances!

### Least squares estimation

Find an estimate  $\hat{\theta}$  of  $\theta$  that minimizes

$$\sum_{i=1}^n (y(i) - \hat{y}(i))^2$$

where

$$\hat{y}(t) = \hat{\theta}^T \varphi$$

### Recursive least-squares estimation

$$P(t) = P(t-1) - \frac{P(t-1)\varphi(t)\varphi(t)^T P(t-1)}{1 + \varphi(t)^T P(t-1)\varphi(t)}$$

Forgetting:

$$P(t) = \frac{1}{\lambda} \left( P(t-1) - \frac{P(t-1)\varphi(t)\varphi(t)^T P(t-1)}{\lambda + \varphi(t)^T P(t-1)\varphi(t)} \right)$$

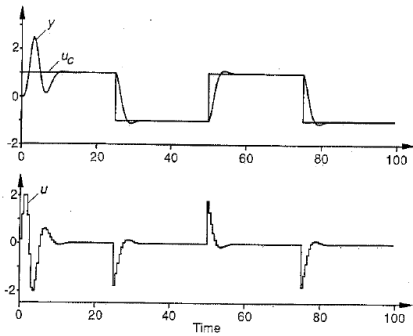
$$\lambda < 1$$

## The information matrix

$$P(t)^{-1} = \lambda P(t-1)^{-1} + \varphi(t)\varphi(t)^T$$

$$P(t)^{-1} = P(t-1)^{-1} - (1-\lambda)P(t-1)^{-1} + \varphi(t)\varphi(t)^T$$

## Simulation results



## Research topics during the 70'ies

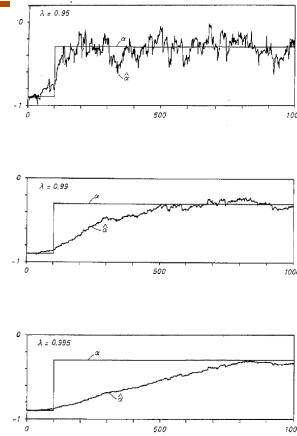
- ▶ stability
- ▶ convergence
- ▶ choice of  $\lambda$
- ▶ colored noise  $n(t)$

We believed that this was the final solution to control problems!

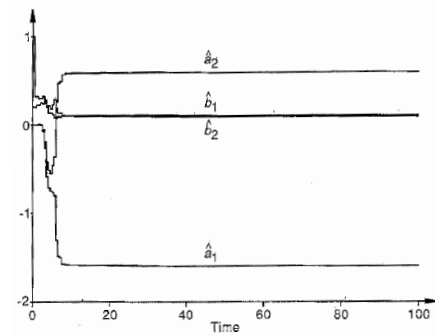
## ABB Novatune



## Simulation results



## Simulation results



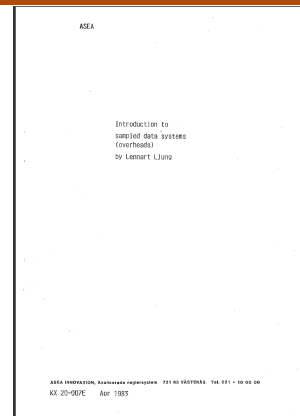
## Parallel activities in industry around 1980

ABB prepared for the Novatune

Foxboro had their own strategy - the rule-based adaptive PID controller.

This was also the time when automatic tuning procedures started to appear

## ABB Novatune



Self-tuning regulators 53

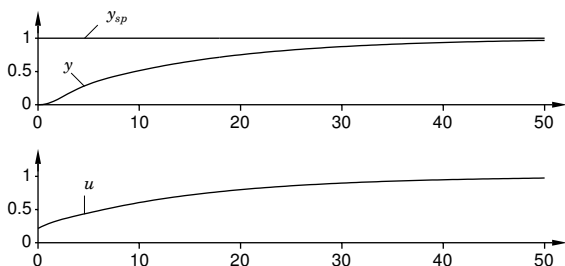
ADAPTIVE CONTROL – SUMMARY 54

DESIGN VARIABLES

- MODEL ORDERS  $n, m$   
= Regulator complexity. Try out. Typically  $n = m = 2-5$
- DESIRED POLE LOCATION  
Must be matched with sampling interval. The origin (dead-beat) gives fastest, but most servitive system.
- SAMPLING INTERVAL  
Related to desired bandwidth and uncompensated dynamics. Fast sampling may make regulator overambitious.
- TIME DELAY  
Determine, if possible, by separate stepresponse
- FORGETTING FACTOR  
A forgetting factor  $\lambda$  remembers  $\frac{1}{\lambda}$  data points. Typical choice  $\lambda = 0.98-0.99$   
Trade-off between alertness & nervousness

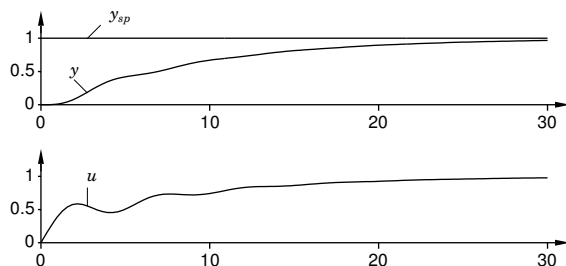
Idea: Combine recursive identification with simple, "modern" design procedures.  
Tuning of control parameters taken care of, but several design variables still to be chosen

Adaptive control – Rule-based methods



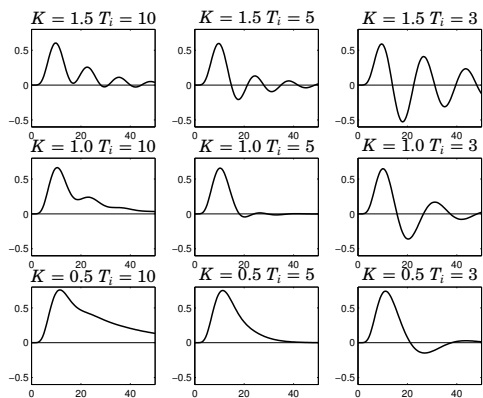
Rule: Increase gain, decrease integral time

Adaptive control – Rule-based methods



Rule: Decrease gain, decrease integral time

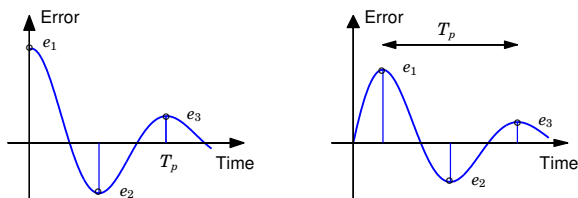
Adaptive control – Rule-based methods



Collaboration University – Industry

- ▶ 60ies: Good collaboration.
- ▶ 70ies: Collaboration interrupted.
- ▶ 80ies: Slowly improving.
- ▶ 90ies: Good collaboration.

Foxboro EXACT



- ▶ Handles load disturbances efficiently
- ▶ Assumes isolated step disturbances

70ies

- ▶ Collaboration between process industry and university bad
- ▶ Collaboration between suppliers and process industry bad
- ▶ Simulators
- ▶  $G(s) = B(s)/A(s)$
- ▶ Adaptive control is developed in this environment
- ▶ "Adaptive control solves all problems"
- ▶ "The end of automatic control research?"

Discovery:

- ▶ The process is not  $G(s) = B(s)/A(s)$
- ▶ Disturbances are not always step changes in set point
- ▶ There are load disturbances
- ▶ Processes are nonlinear, e.g. friction and hysteresis
- ▶ Products must be automatic – no parameters to tune



### Automatic tuning

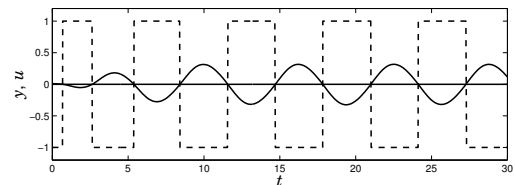
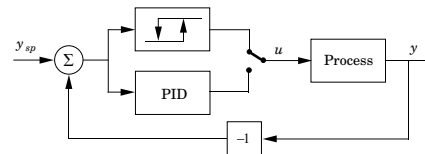
When a controller is to be tuned, the following tasks are performed:

1. Disturb the process.
2. Derive a process model.
3. Determine controller parameters based on the model.

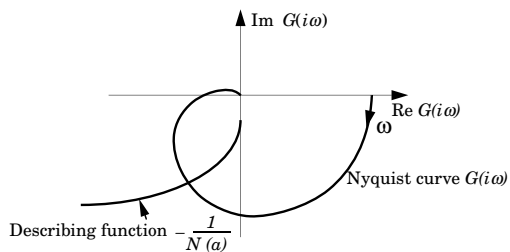
**Automatic tuning:**

An aid where these tasks are performed automatically.

### The relay method



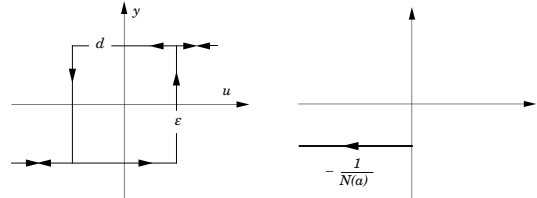
### Describing function analysis



$$N(a)G(i\omega) = -1$$

### Describing function analysis

Relay with hysteresis



$$-\frac{1}{N(a)} = -\frac{\pi}{4d} \sqrt{a^2 - \epsilon^2} - i \frac{\pi \epsilon}{4d}$$

### Design ECA600

Normal:

$$P(i\omega_0)C(i\omega_0) = 0.5e^{-i135\pi/180}$$

$$T'_i = 4T'_d$$

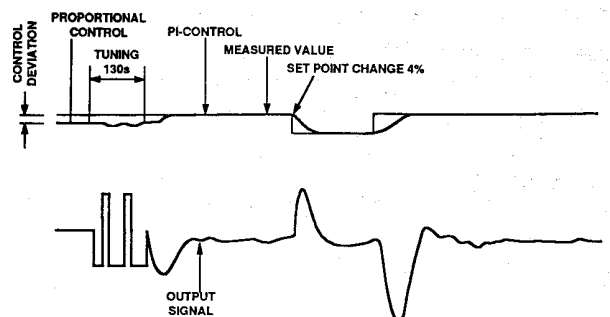
Lag-dominant processes:

$$K = 0.5/|P(i\omega_0)|, \quad T_i = 4/\omega_0$$

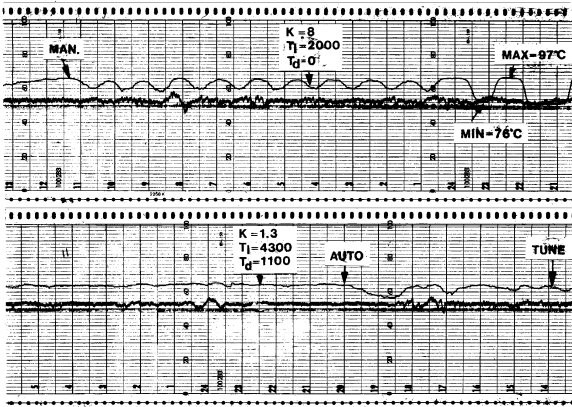
Delay-dominant processes:

$$K = 0.25/|P(i\omega_0)|, \quad T_i = 1.6/\omega_0$$

### Example – Level control

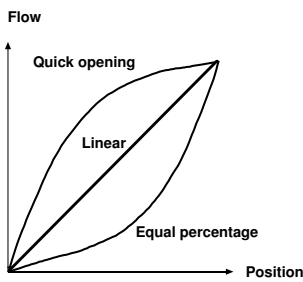


## Example – Temperature control



## Nonlinearities

Example – Nonlinear valve

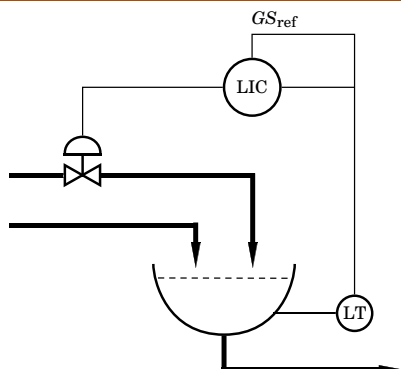


## Gain scheduling

A table with different controller parameter for different operating conditions.

$K = 0.43$	$K = 0.28$	$K = 0.20$	
$T_i = 1.81$	$T_i = 1.89$	$T_i = 1.71$	
$T_d = 0.45$	$T_d = 0.47$	$T_d = 0.43$	
			$GS_{ref}$
0	33	67	100 %

## Gain scheduling

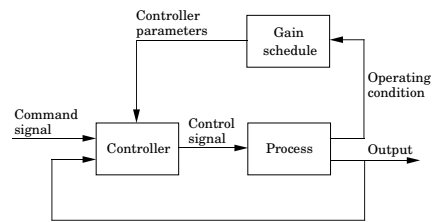


Gain scheduling based on the measurement signal.

## ECA600 Experience

- ▶ Why was it well received?
- ▶ Must be fully automatic.
- ▶ Keep it simple!

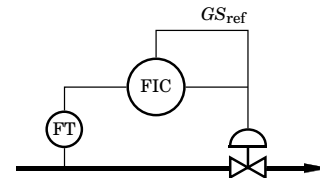
## Gain scheduling



Example – Gain scheduling references

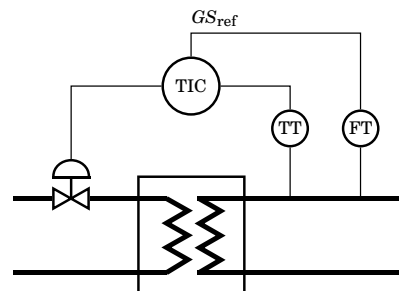
- ▶ Production level
- ▶ Machine speed
- ▶ Speed and hight (air planes)

## Gain scheduling



Gain scheduling based on the control signal.

## Gain scheduling

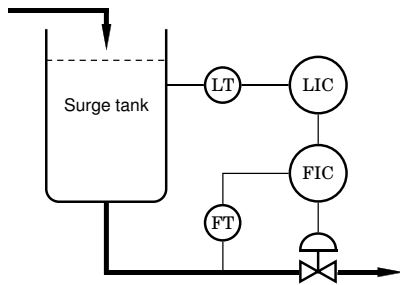


Gain scheduling based on an external signal (the flow).

## Gain scheduling

Gain scheduling can also be used to treat linear processes with production-dependent specifications.

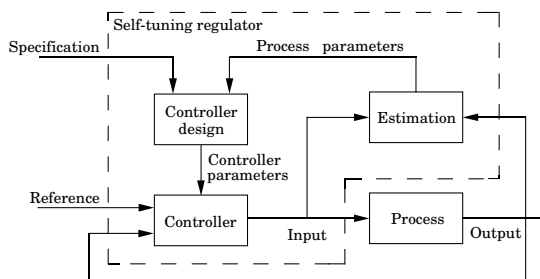
Example – Surge tank control:



## Adaptive problems to handle

- ▶ Initialization (fully automatic)
- ▶ Tracking - forgetting - excitation
- ▶ Load disturbances
- ▶ Stiction in valves
- ▶ Mode switches

## Adaptive controllers



**Key problem:** The parameter estimation must be based on relevant data.

## Excitation

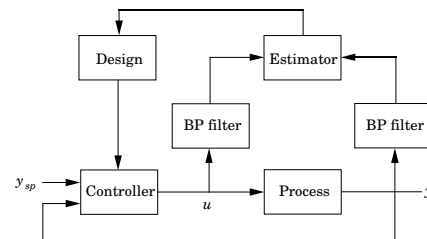
1. Ensure that excitation always is present by adding excitation signals to the process input.
2. Ensure that estimation is performed only when there is enough natural excitation of the process.

The last alternative is preferred.

## Conclusions

- ▶ Gain scheduling is simple and robust
- ▶ Important when controllers are tuned for high performance
- ▶ Good complement to automatic tuning procedures

## ABB ECA 600



**Idea:** Track the point on the Nyquist curve identified by the relay autotuner!

## Recursive least-squares estimation

$$\hat{\theta}(t) = \hat{\theta}(t-1) + P(t)\varphi(t)\epsilon(t)$$

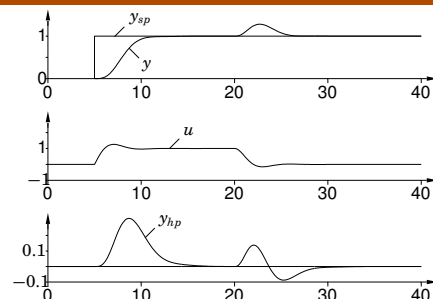
$$\epsilon(t) = y(t) - \varphi(t)^T \hat{\theta}(t-1)$$

$$P(t) = P(t-1) - \frac{P(t-1)\varphi(t)\varphi(t)^T P(t-1)}{1 + \varphi(t)^T P(t-1)\varphi(t)}$$

Forgetting:

$$P(t) = \frac{1}{\lambda} \left( P(t-1) - \frac{P(t-1)\varphi(t)\varphi(t)^T P(t-1)}{\lambda + \varphi(t)^T P(t-1)\varphi(t)} \right)$$

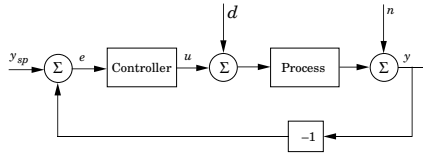
## Excitation detection



$$Y_{hp} = \frac{s}{s + \omega_{hp}} Y \quad \omega_{hp} \sim \frac{1}{T_p}$$

Estimate when  $|y_{hp}|$  is large.

## Problems with load disturbances



The process output  $y$  is given by

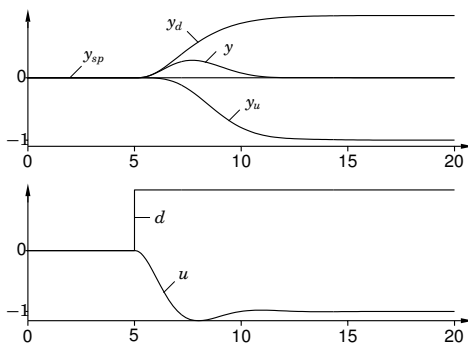
$$Y(s) = P(s) (U(s) + D(s)) + N(s)$$

Noise no problem, but loads are.

$$y(t) = y_u(t) + y_d(t),$$

## Problems with load disturbances

**Solution:** Avoid adaptation when  $y_d$  dominates over  $y_u$ .



## Oscillation detection

**Oscillations near ultimate frequency:**

When generated by setpoint:

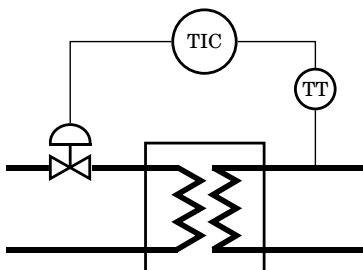
$$y(t) = y_u(t) \Rightarrow \text{Good excitation}$$

When generated by friction or load disturbances:

$$y(t) = y_d(t) \Rightarrow \text{Bad excitation}$$

Detect oscillations and avoid adaptation!

## Example – Heat exchanger



## Problems with load disturbances

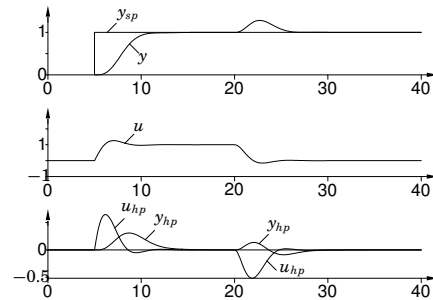
The estimation error is

$$e(t) = y(t) - \hat{y}(t) = y(t) - \varphi(t)^T \hat{\theta}(t-1)$$

where it is assumed that

$$y(t) = y_u(t) = \varphi(t)^T \theta(t-1),$$

## Load disturbance detection



**Set-point changes:**  $|y_{hp}|$  and  $|u_{hp}|$  large, move in the same direction

**Load disturbances:**  $|y_{hp}|$  and  $|u_{hp}|$  large, move in opposite direction

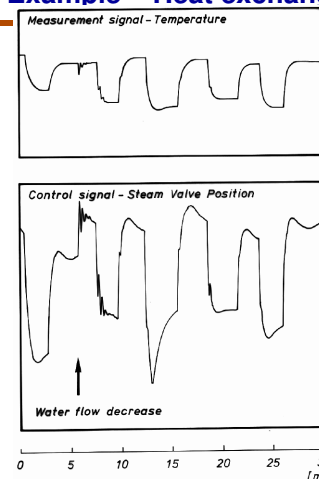
## Signal saturation

$$y(t) = y_u(t) + (y_{\text{limit}} - y_u(t)).$$

Avoid adaptation during signal saturation!

Also important to have bumpless transfer between modes.

## Example – Heat exchanger



## ABB ECA 600 – Adaptive feedforward

Process model:

$$y(t) = au(t - 4h) + bv(t - 4h),$$

where  $h = T_0/8$ ,  $T_0$  is the oscillation period

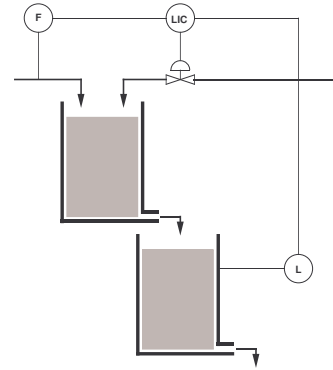
Controller

$$\Delta u_{ff}(t) = k_{ff}(t)\Delta v(t),$$

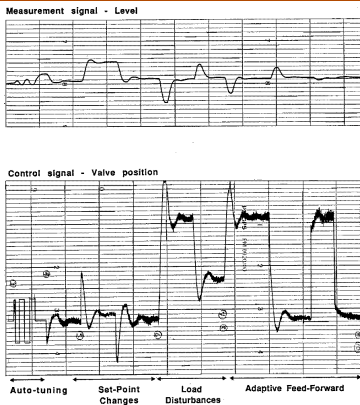
where

$$k_{ff}(t) = -0.8 \frac{\hat{b}(t)}{\hat{a}(t)}.$$

## Example – Adaptive feed-forward



## Example – Adaptive feed-forward



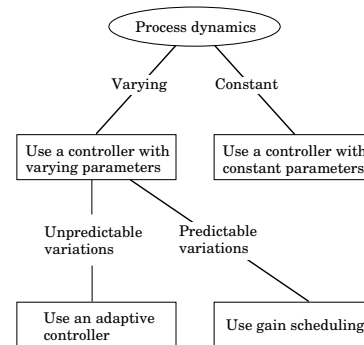
## “Revolutionizing” methods

- ▶ 80-talet: Adaptive control
- ▶ 90-talet: Fuzzy control
- ▶ 00-talet: MPC (Model Predictive Control)

## Experiences

- ▶ Universities must collaborate with end users
- ▶ Universities must collaborate with system suppliers
- ▶ System suppliers must collaborate with end users
- ▶ End users in process control must keep or improve their competence

## Use of adaptive techniques



## What went wrong with the adaptive control developer

- ▶ Too complicated
  - ▶ must be fully automatic
- ▶ Too limited
  - ▶ could not be tuned manually
- ▶ Bad robustness properties
  - ▶ load disturbances and nonlinearities