



Control Design for MEMS Instruments Based on Force Feedback

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Thank you for introducing me to
a fascinating field for control applications

KJÅ: Lectures on Control of Microsystems

Outline

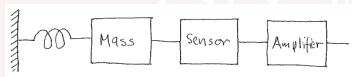
- 1 Introduction
- 2 Control Architecture for Force Feedback
- 3 A Tunneling Accelerometer
- 4 Experiments
- 5 Summary

Introduction

- Interesting and useful devices in dynamic development
AFM, Accelerometers, Gyroscopes, Hard disks, Optical memories ...
- Small scale and high Q (low damping)
Scaling of surface l^2 vs volume l^3 : friction important
- Oscillatory (nonlinear) dynamics with low damping
- Noise: Brownian motion, Johnson-Nyquist, tunneling,
- Parameter uncertainty and parameter variations
- Fast sampling MHz, challenging implementation
- Control is often mission critical, noise, robustness, dynamics, nonlinearities all have to be balanced
- Rich area for applying control

Force Feedback

- Classic idea with tremendous impact
- Game changer in instrument design



Open loop, all components matter

$$\text{Bandwidth } \omega_b = \sqrt{k/m}$$

$$\text{Sensitivity} = k_a/k$$

$$\text{Invariant } \omega_b^2 S = k_a/m$$

Closed loop, actuator only critical element

Bandwidth depends on feedback system

Error signal also useful!

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Control Architecture

Models

$$\frac{dx}{dt} = Ax + B_w w + Bu, \quad y = Cx, \quad \text{instrument}$$

$$\frac{dz}{dt} = A_w z, \quad w = C_w z, \quad \text{sensorsignal}$$

Standard structure based on Kalman filter and state feedback

$$\frac{d\hat{x}}{dt} = A\hat{x} + B_w C_w \hat{z} + Bu + L_x (y - C\hat{x})$$

$$\frac{d\hat{z}}{dt} = A_w \hat{z} + L_w (y - C\hat{x}) = A_w \hat{z} + L_w (y - \hat{y})$$

$$u = -K_x \hat{x} - K_z \hat{z}.$$

- Design instrument to make $B_w C_w$ close to B
- Design filter gains L and L_w to shape frequency response
- Design feedback gains K and K_w to give small errors

Sensor Transfer Function

Transfer function from signal w to its estimate \hat{w}

$$G_{\hat{w}w} = (I + F(s))^{-1} F(s), \quad F(s) = C_w (sI - A_w)^{-1} L_w (sI - A - L_x C)^{-1} B_w$$

For $A_w = 0$ (constant but unknown or slowly varying acceleration) the expression simplifies to

$$G_{\hat{w}w} = \frac{L_z C (sI - A + L_x C)^{-1} B_w}{s + L_z C (sI - A + L_x C)^{-1} B_w}, \quad G_{\hat{w}w}(0) = 1$$

- Does not depend on feedback gains K_x and K_z !
- Does not depend on B
- Does depend on filter gains

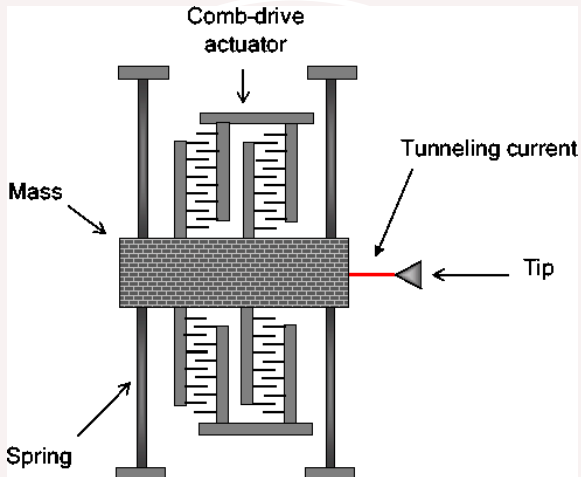
Many design options:

- Optimize with respect to disturbances and uncertainty
- Shape the frequency response $G_{\hat{w}w}$ (automotive)

Outline

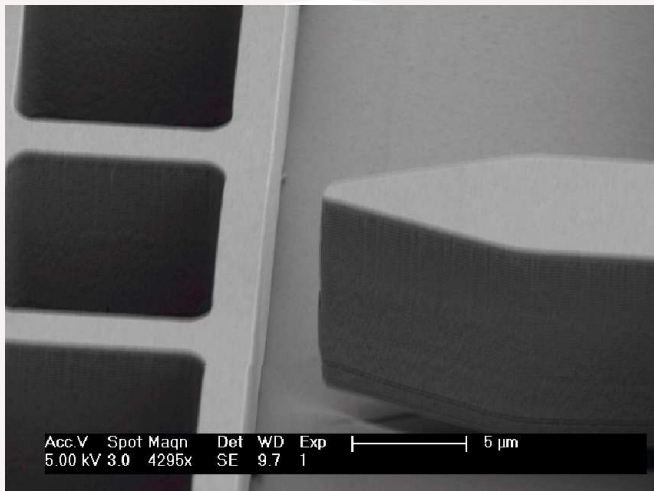
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The Tunneling Accelerometer



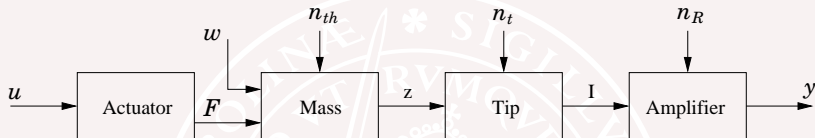
Courtesy of Laura Oropeza-Ramon

Tunneling Tip



Courtesy of Laura Oropeza-Ramon

Block Diagram



Actuator:

$$F = \frac{N\epsilon_0 h}{d} (V_0 + u)^2, \quad \delta F = k_a \delta u, \quad k_a = 2 \frac{N\epsilon_0 h V_0}{d}$$

$$\text{Mass: } m \frac{d^2 z}{dt^2} + c \frac{dz}{dt} + kz = F + mw + n_{th}$$

Tunneling tip:

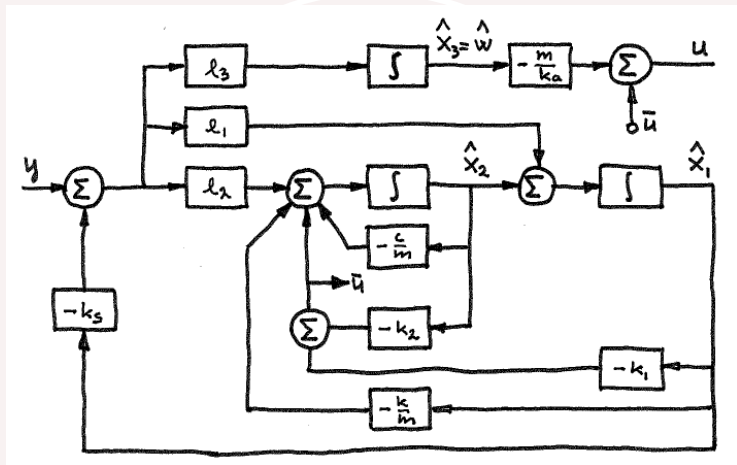
$$I = k_t^0 V_e e^{-\alpha x \sqrt{\phi}}, \quad \delta I = k_t I_e \delta x + n_t, \quad k_t = \alpha \sqrt{\phi}$$

$$\text{Amplifier: } V = k_v (RI + n_R) \quad (2 \text{ nA, simplified})$$

Noise Sources

- Thermal noise white noise force with spectral density $4ck_B T$ (dissipation fluctuation theorem), c damping coefficient, $k_B = 1.38 \times 10^{-23}$ [J/Kelvin] Boltzmann's constant and T temperature
- Tunneling noise modeled as shot noise which is white noise with spectral density $q_0 2I$, where $q_0 = 1.6 \times 10^{-19}$ C is the charge of the electron and I is the current.
- Model resistors by an ideal resistor with a voltage source in series representing the Johnson-Nyquist noise which is white noise with spectral density $4k_B T R$
- Amplifier noise
- $1/f$ noise

Simplified Block Diagram



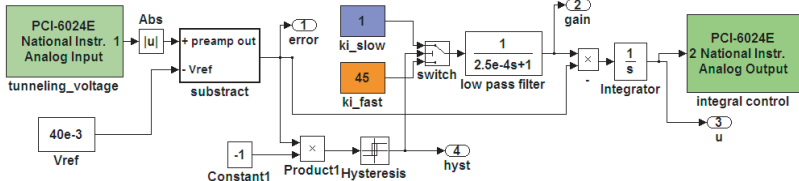
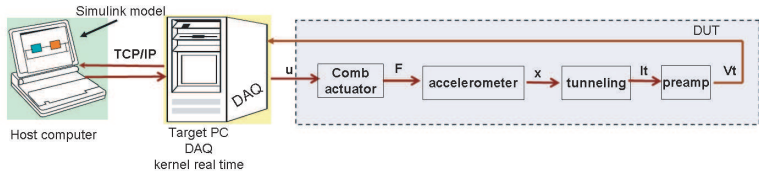
Physical interpretations!

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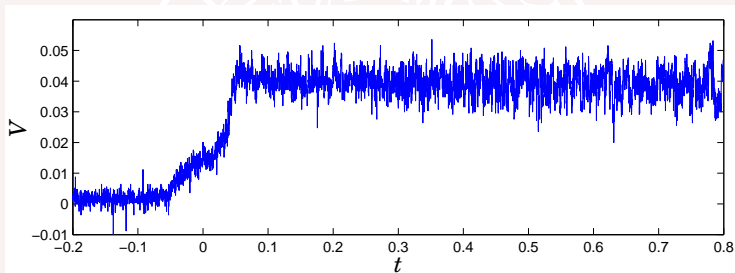
First Attempt

- Initialize - Initiate tunneling, get from 1 μm to 1 nm safely
- Switched integrating controller
- Regulate - maintain tunneling



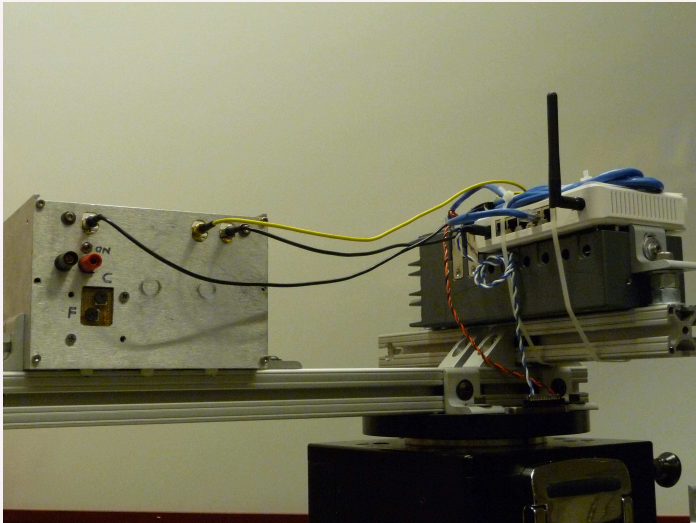
Hunt for Noise Sources

- Originally very high noise levels
- Guide-lines from physical modeling very useful



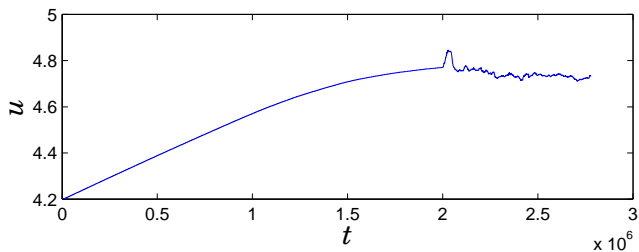
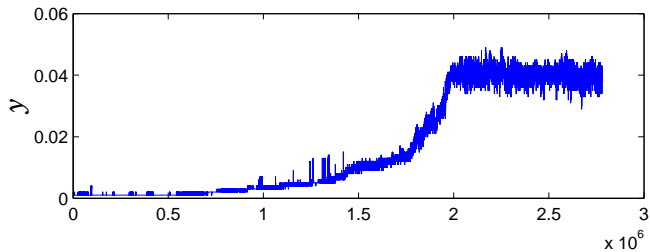
- Redesign electronics: preamplifier, DAC with better resolution
- Replace PC by National Instruments Compact Rio

Experimental Set-up

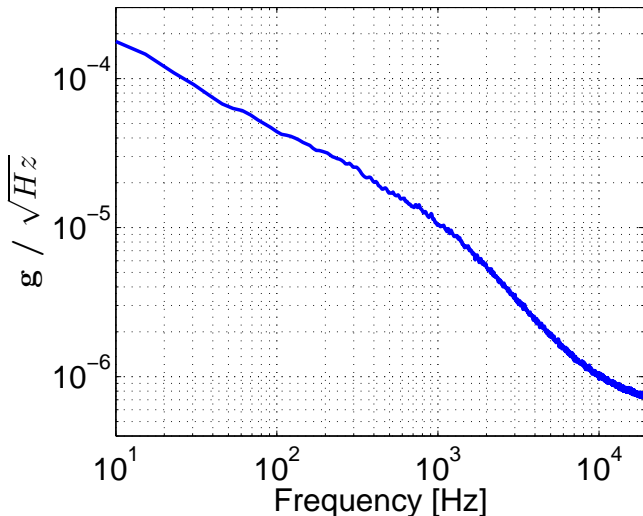


Courtesy of Chris Burgner

Improved Electronics



Control Signal has Long Term Drift $1/f$



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Summary

- Interesting application area for control
- Systems with low damping $Q = \frac{1}{2\zeta}$ up to 1000
 - Truxal 1961: The design of feedback systems to effect satisfactorily the control of *very lightly damped* physical systems is perhaps the most basic of the difficult control problems.
- Noise
 - Thermal, Johnson-Nyquist, tunneling, $1/f$
- Integrated systems and control design
- A design framework
 - Controller architecture
 - Design trade-offs
 - High sampling rates MHz, analog or FPGA
 - High precision $\sigma = 0.3 \text{ \AA}$

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Parameters

Boltzmann's constant	k_B	1.3807×10^{-23} J/K
Charge of electron	q_0	1.602×10^{-19} C
Tunneling constant	α	1.025 1/Å $\sqrt{\text{eV}}$
Tunneling barrier	ϕ	0.05 eV
Temperature	T	293 K
Mass	m	4.917 μg
Resonant frequency	f_0	4.2 kHz
Q-value	Q	10
Actuator gain	k_a	9.2×10^{-7} N/V
Tunneling gain	k_t	4 A/m
Preamp resistance	R	10.2 M Ω
Voltage gain	k_v	2
Sensor gain	$k_s = k_t k_v R$	21.6 MV/m